



D E C L A R A T I O N

I, TAKAO OCHI, a Japanese Patent Attorney registered No.10149, of Okabe International Patent Office at No. 602, Fuji Bldg., 2-3, Marunouchi 3-chome, Chiyoda-ku, Tokyo, Japan, hereby declare that I have a thorough knowledge of Japanese and English languages, and that the attached pages contain a correct translation into English of the priority documents of Japanese Patent Application No.2000-143354 filed on May 16, 2000 in the name of CANON KABUSHIKI KAISHA.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made, are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such wilful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signed this 13<sup>th</sup> day of January, 2006

  
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1. Date of Change: August 30, 1990

[Reason for Change] New Registration

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PATENT OFFICE  
JAPANESE GOVERNMENT

This is to certify that the annexed is a true copy of the following  
application as filed with this office.

Date of Application: May 16, 2000

Application Number: Japanese Patent Application  
No. 2000-143354

Applicants: CANON KABUSHIKI KAISHA

Commissioner,  
Patent Office May 31, 2001  
KOZO OIKAWA

(Seal)

Certificate No. 2001-3049908

2000-143354

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[Reference No.]	4229015
[Date]	May 16, 2000
[Addressed to]	Commissioner, Takahiko Kondo
[International Classification]	G06F 17/30
[Title of the Invention]	Information Processing Apparatus for Management of Documents Relevant to Patent Application
[Number of the Claims]	6
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[Indication of Official Fee]

[Prepayment Ledger No.] 011224

[Amount] 21000

[List of Filed Materials]

[Material]	Specification	01
[Material]	Drawings	01
[Material]	Abstract	01

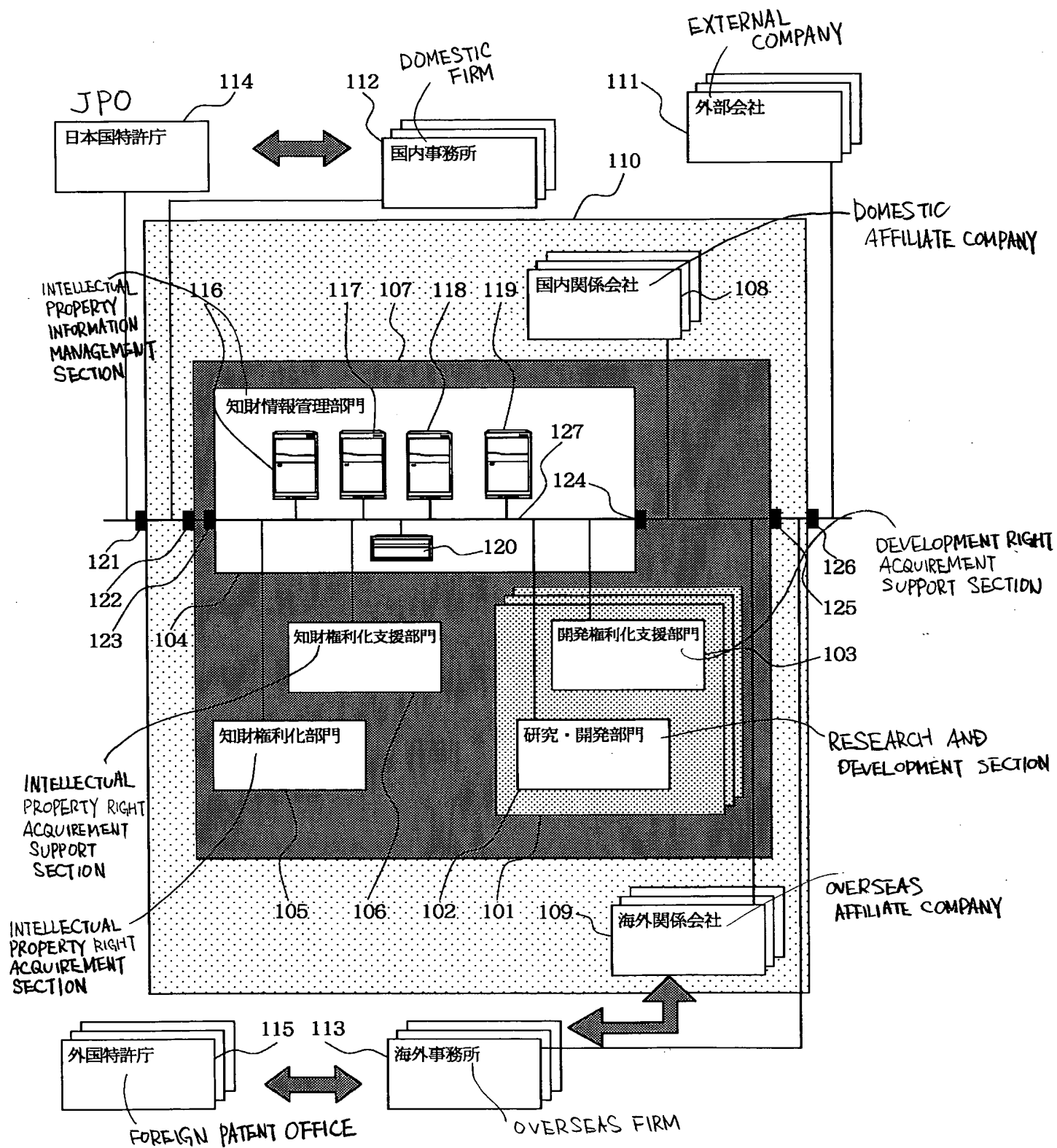
[General Power of Attorney] 9908388

[Proof Requirement] Required

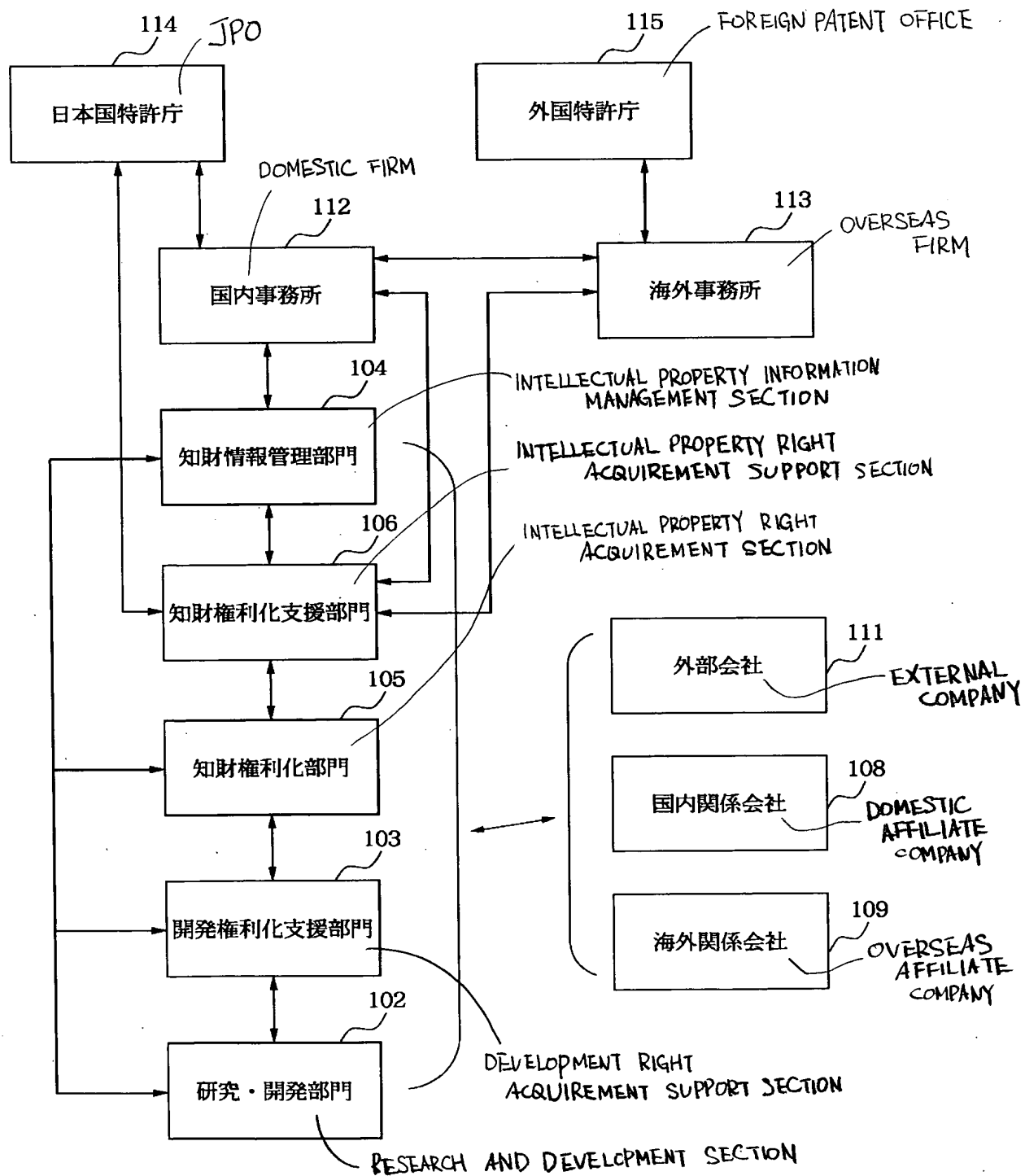
整理番号=4229015

Name of the Document  
【書類名】 図面 - Drawings

【図1】 FIG. 1

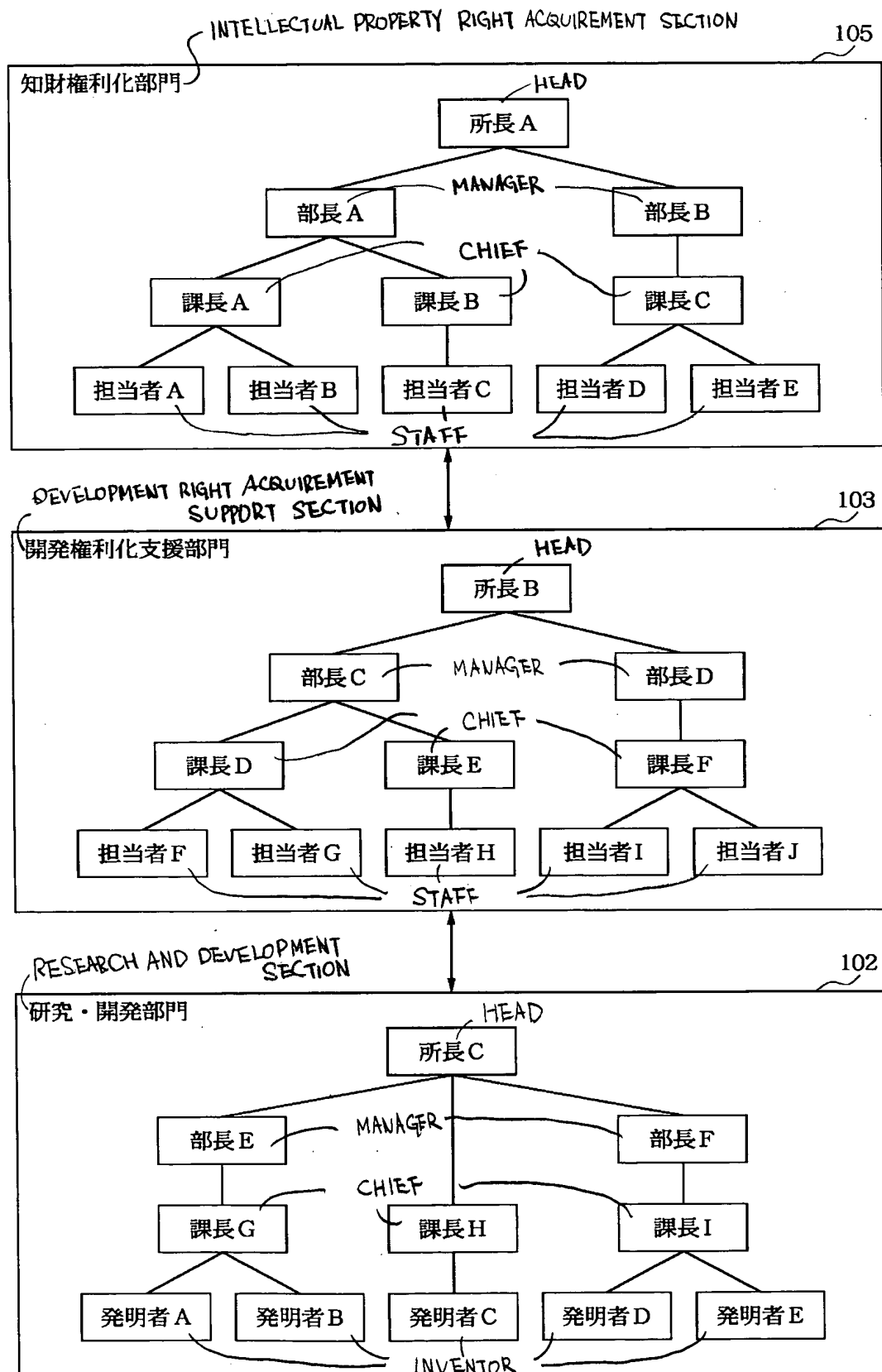


【図2】 FIG. 2

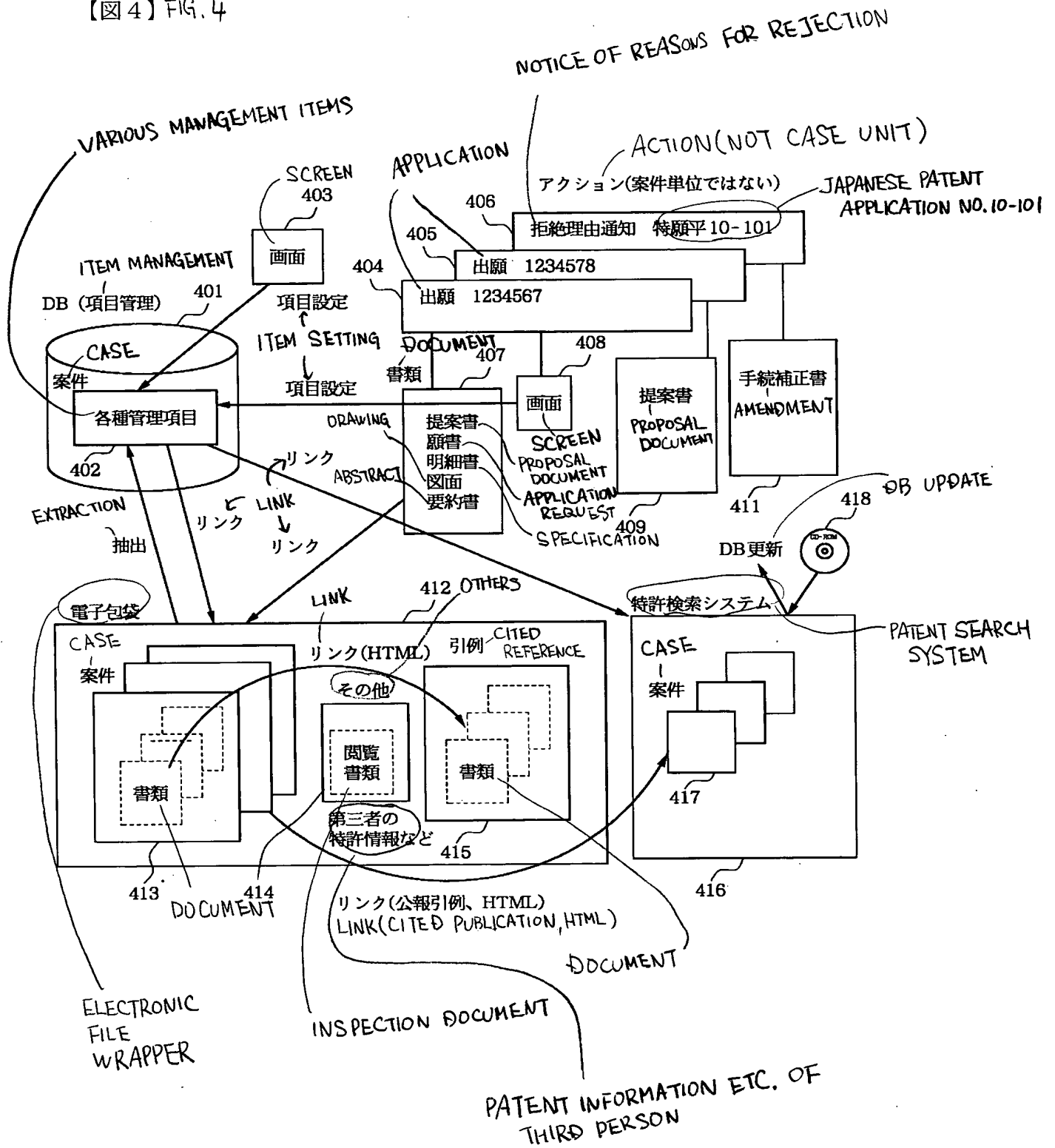




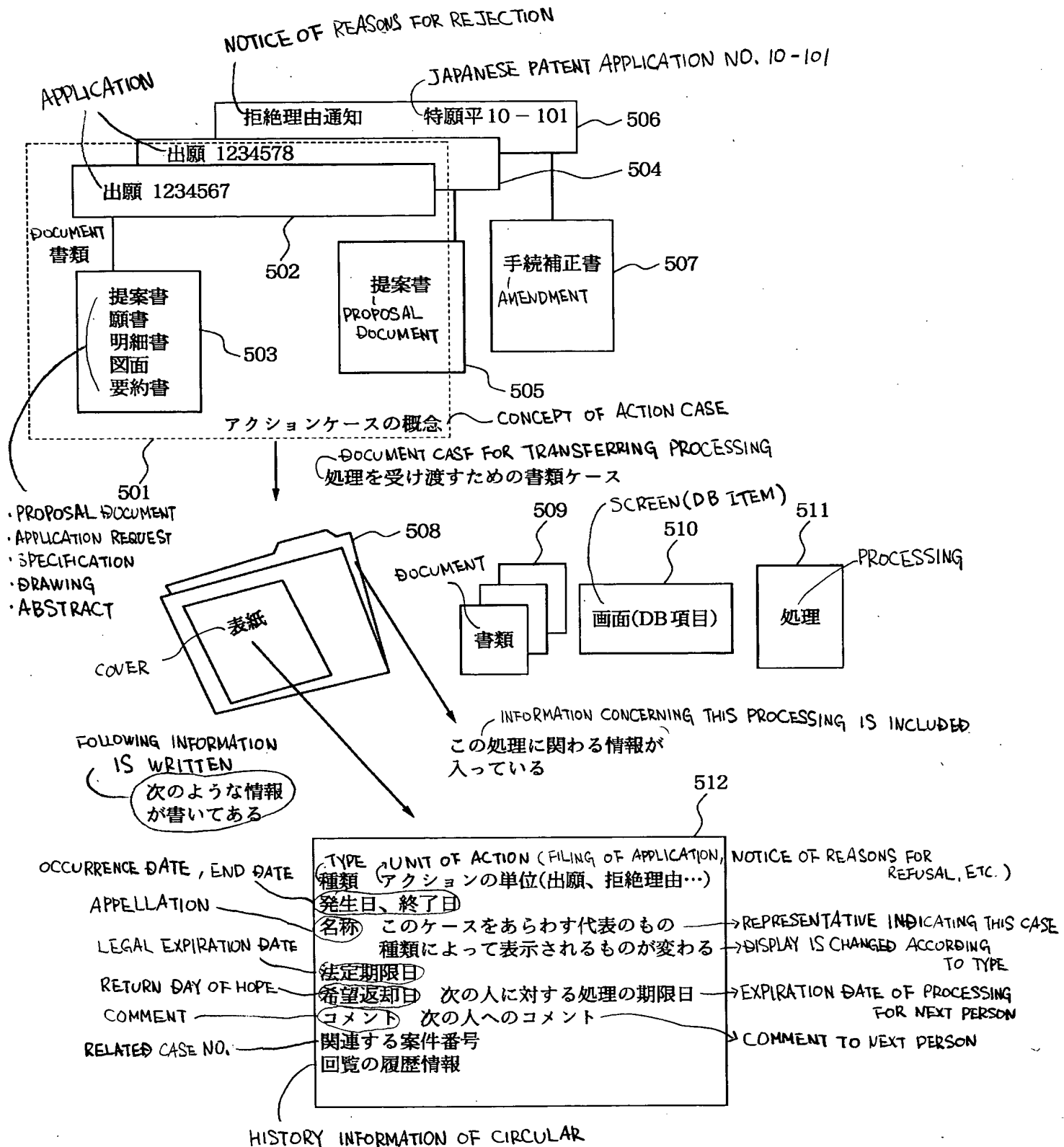
【図3】 FIG. 3



【図4】FIG. 4



【図5】 FIG. 5



【図6】FIG. 6

EXAMPLE 1 OF ACTION CASE (APPLICATION FILING CASE)  
(アクションケース例1 (出願ケース))

TIMING OF GENERATING		IT IS CREATED IN CASE THAT 'FILING' IS INSTRUCTED FROM PROPOSAL CASE INCLUDING DESCRIPTION OF INVENTION BY INVENTOR	
AUTOMATIC	発生のタイミング	自動	発明者による発明説明書を含む提案ケースより「出願する」の指示がなされた場合に、作成される。
	INSTRUCTION	指示	提案書類の識別のための提案番号は引き継がれる。→PROPOSAL NO. FOR DISCRIMINATION OF PROPOSAL DOCUMENT IS SUCCEEDED
PROCESSING AT TIME OF GENERATING	発生時の処理	発生したときに提案番号がないもの (手動発生) は、便宜上仮の提案番号を採番する。→①	
	消滅時の処理	自動作成の場合は、提案ケースより発明説明書に関連書類として入れる→②	
DISPOSAL (ONLY INTELLECTUAL PROPERTY RIGHT ACQUISITION SECTION IS POSSIBLE)	処分	拒絶理由応答ケースからの作成指示の場合は、原出願番号の情報に、さかのぼった親の出願番号が入る (拒絶理由応答ケース側の処理)。法定期限日も自動計算する。→③	
TIMING OF EXTINGUISHMENT	消滅のタイミング	出願中止...何らかの理由で中止することが可能。その場合は元の提案は、自動的に出願保留扱いにする。	
	消滅時の処理	出願 (デフォルト) →SUSPENSION OF FILING...SUSPENSION BY A CERTAIN REASON IS POSSIBLE. IN THIS CASE, FILING (DEFAULT) IT IS AUTOMATICALLY MADE FILING SUSPENSION TREATMENT.	
DOCUMENTS LIST	書類一覧	特許庁に出願した結果のファイルがシステムに正式に登録された時点で完了になる。→④	
	関連書類	出願中止の処分がなされた場合。→⑤	
SUBMISSION DOCUMENTS TO PATENT OFFICE	通常書類	出願と同時に審査請求の指定がある場合	
	斤提出書類	社内出願 (自社代理人) であれば、該当案件の審査請求アクションケースを知財権利化支援部門所有で発生させる。出願済みとして管理DB上の管理情報を更新する。	
NOTE	備考	社外代理人であれば、審査請求済みの結果ファイルがいつしよに入っていないとエラー、入っている場合は該当案件を出願済み、審査請求済みとして管理DB上の管理情報を更新する。	
		出願と同時に審査請求の指定がない場合	
		分割出願の場合に限り、知財権利化部門所有で審査請求アクションケースを発生させる	
		発明説明書 → INVENTION DESCRIPTION	
		依頼書 (社内、事務所) → WRITTEN REQUEST (INTERNAL, FIRM)	
		願書 → APPLICATION REQUEST	
		明細書 → SPECIFICATION	
		図面 → DRAWING	
		要約書 → ABSTRACT	
		・コピーケースの作成は不可とする。→CREATION OF COPY CASE IS INADMISSIBLE	

→ WHEN FILING OF REQUEST FOR EXAMINATION SIMULTANEOUS WITH FILING OF APPLICATION IS NOT DESIGNATED, ONLY IN CASE OF DIVISIONAL APPLICATION, ACTION CASE OF REQUEST FOR EXAMINATION IS GENERATED BY INTELLECTUAL PROPERTY RIGHT ACQUISITION SECTION POSSESSION.

☒ 6 (FIG. 6)

- ① • IN CASE OF PROPOSAL NUMBER IS NOT GIVEN AT TIME OF GENERATING (MANUAL GENERATION), TEMPORARY PROPOSAL NUMBER IS GIVEN FOR CONVENIENCE.
- ② • IN CASE OF AUTOMATIC GENERATING, DESCRIPTION OF INVENTION IS TAKEN IN AS RELATED DOCUMENT FROM "PROPOSAL CASE".
- ③ • IN CASE OF GENERATING BY INSTRUCTION FROM "REJECTION REASON RESPONSE CASE", RETROACTIVE PARENT APPLICATION NUMBER IS PUT INTO INFORMATION OF ORIGINAL APPLICATION NUMBER (PROCESSING OF REJECTION REASON RESPONSE CASE SIDE). LEGAL EXPIRATION DATE IS AUTOMATICALLY CALCULATED.
- ④ IT IS COMPLETED WHEN FILE OF RESULT FILED APPLICATION TO PATENT OFFICE IS FORMALLY REGISTERED IN SYSTEM.
- ⑤ WHEN DISPOSAL OF SUSPENSION OF FILING IS MADE.
- ⑥ • WHEN FILING OF REQUEST FOR EXAMINATION SIMULTANEOUS WITH FILING OF APPLICATION IS DESIGNATED.

IF FILING IS MADE BY OUR COMPANY (INTERNAL ATTORNEY), ACTION CASE OF REQUEST FOR EXAMINATION OF CORRESPONDING CASE IS GENERATED BY INTELLECTUAL PROPERTY RIGHT ACQUIREMENT SUPPORT SECTION POSSESSION.

IF FILING IS MADE BY EXTERNAL ATTORNEY, IN CASE OF FILE OF RESULT FILED REQUEST FOR EXAMINATION IS NOT TOGETHER WITH FILE OF RESULT FILED APPLICATION, IT IS MADE ERROR.

IN CASE THAT FILE OF RESULT FILED REQUEST FOR EXAMINATION IS PUT TOGETHER, MANAGEMENT INFORMATION ON MANAGEMENT DB IS UPDATED AS "COMPLETION OF APPLICATION FILING" AND "COMPLETION OF REQUEST FOR EXAMINATION" CONCERNING CORRESPONDING CASE.

【図7】 FIG. 7

EXAMPLE 2 OF ACTION CASE (REJECTION REASON RESPONSE CASE)

アクションケース例2 (拒絶理由応答ケース)

PERSON POSSIBLE TO GENERATE		システム	SYSTEM
TIMING OF GENERATION	発生可能な人		
	発生時のタイミング		
	自動	・特許庁より拒絶理由通知を受け取り、システムに登録されたとき	①
	指示	・知財権利化支援部門、特許事務所で発生可能 (紙入力の場合)	②
PROCESSING AT TIME OF GENERATING	発生時の処理	・法定期限日を設定し、拒絶理由通知が電子データであれば、それを関連資料として取り込む。紙の場合は人がスキャナ入力する。	③
	処分 (知財権利化部門のみ可能)	・応答 (デフォルト) ...この中には意見書・補正書提出、放棄、取り下げが含まれる。 ・放置...担当者の画面に表示させないようにする。法定期限日の××日 (ユーザの設定日) 前に確認のため再度表示する。 ・放置決定...上記で再表示したときにのみ指定可能。この処理で完了 (消滅) となる。	④
TIMING OF EXTINGUISHMENT	消滅のタイミング		
	自動	特許庁に応答した結果のファイルがシステムに正式に登録された時点で完了になる。	⑤
	指示	放置決定の処分がなされた場合。	⑥
	消滅時の処理	・特許庁への応答時の書類により、それぞれの処理を行う。取り下げ、放棄等の書類以外に、名義変更等があればそれらも反映する。	⑦
PROCESSING AT TIME OF EXTINGUISHMENT	書類一覧	→ DOCUMENTS LIST	
	関連書類	拒絶理由通知書 → NOTICE OF REASONS FOR REJECTION	
	通常書類	アクション検討依頼書 → ACTION STUDY WRITTEN REQUEST	
	依頼書 (事務所、社内)	→ WRITTEN REQUEST (FIRM, INTERNAL)	
RELATED DOCUMENTS	庁提出書類	法律に従った書類のみ可能。→ ONLY DOCUMENTS ACCORDING TO LAW ARE ADMISSIBLE	
	備考		

NOTE

図 7 (FIG. 7)

- ① ·WHEN NOTICE OF REASONS FOR REJECTION FROM PATENT OFFICE IS RECEIVED AND REGISTERED IN SYSTEM.
- ② ·IT IS POSSIBLE TO GENERATE BY INTELLECTUAL PROPERTY RIGHT ACQUIREMENT SUPPORT SECTION AND PATENT FIRM (IN CASE OF PAPER INPUT) .
- ③ ·LEGAL EXPIRATION DATE IS SET. IF NOTICE OF REASONS FOR REJECTION IS ELECTRONIC DATA, IT IS TAKEN IN AS RELATED DOCUMENT. IF NOTICE IS PAPER, OPERATOR INPUTS IT BY SCANNER.
- ④ ·RESPONSE (DEFAULT)···SUBMISSION OF ARGUMENT AND AMENDMENT, ABANDONMENT, AND WITHDRAWAL ARE INCLUDED IN THIS.  
·NO-RESPONSE···THIS INFORMATION IS NOT DISPLAYED ON SCREEN OF PERSON IN CHARGE. THIS INFORMATION IS RE-DISPLAYED FOR CHECK \*\*DAYS (USER CAN SET \*\*) BEFORE LEGAL EXPIRATION DATE.  
·DECISION OF NO-RESPONSE···THIS CAN BE DESIGNATED ONLY AT TIME OF THE RE-DISPLAY. IT IS COMPLETED (OR EXTINGUISHED) BY THIS PROCESSING.
- ⑤ IT IS COMPLETED WHEN FILE OF RESULT RESPONDED TO PATENT OFFICE IS FORMALLY REGISTERED IN SYSTEM.
- ⑥ WHEN DISPOSAL OF DECISION OF NO-RESPONSE IS MADE.
- ⑦ ·EACH PROCESSING IS EXECUTED ACCORDING TO EACH DOCUMENT OF TIME OF RESPONSE TO PATENT OFFICE. IF THERE ARE NOTIFICATION OF CHANGE OF APPLICANT ETC. OTHER THAN DOCUMENTS SUCH AS WITHDRAWAL AND ABANDONMENT, THESE ARE ALSO REFLECTED.

【図8】 FIG. 8

PERSON POSSIBLE TO GENERATE  
EXAMPLE 3 OF ACTION CASE (FINAL REJECTION DECISION RESPONSE CASE)  
アクションケース例3 (拒絶査定応答ケース)

発生可能な人	システム→SYSTEM
発生時のタイミング	
自動	① 特許庁より拒絶査定通知を受け取り、システムに登録されたとき。 ・ 審判請求のみ応答した拒絶査定応答ケースが完了した時点。
指示	② 知財権利化支援部門、特許事務所で発生可能 (紙入力の場合)。
発生時の処理	③ 法定期限日を設定し、拒絶査定通知が電子データであれば、それを関連資料として取り込む。紙の場合は人がスキャン入力する。 ・ 審判請求のみ応答した拒絶査定応答ケースから発生した場合、審判請求済みという状態で発生する。このときは意見書を自動作成する。
処分 (特技のみ可能)	④ 応答 (デフォルト) ・ 放置... 拒絶査定画面に表示させないようにする。法定期限日の××日 (ユーザの設定日) 前に確認のため再度表示する。 ・ 放置決定... 上記で再表示したときにのみ指定可能。この処理で完了 (消滅) となる
消滅のタイミング	
自動	⑤ 特許庁に応答した結果のファイルがシステムに正式に登録された時点で完了になる。
指示	⑥ 放置決定の処分がなされた場合。
消滅時の処理	⑦ 特許庁への応答時の書類により、それぞれの処理を行う。審判請求のみの応答処理の場合、拒絶査定応答アクションケースを同一のファイルNOで発生させる。
書類一覧	
関連書類	⑧ 拒絶査定通知書 → NOTIFICATION OF DECISION OF FINAL REJECTION 審判請求書 (審判請求のみ応答した拒絶査定応答ケースから発生したとき)
通常書類	アクション検討依頼書 → ACTION STUDY WRITTEN REQUEST
提出書類	依頼書 (事務所、社内) → WRITTEN REQUEST (FIRM, INTERNAL)
備考	法律に従った書類のみ可能。→ ONLY DOCUMENTS ACCORDING TO LAW ARE ADMISSIBLE.

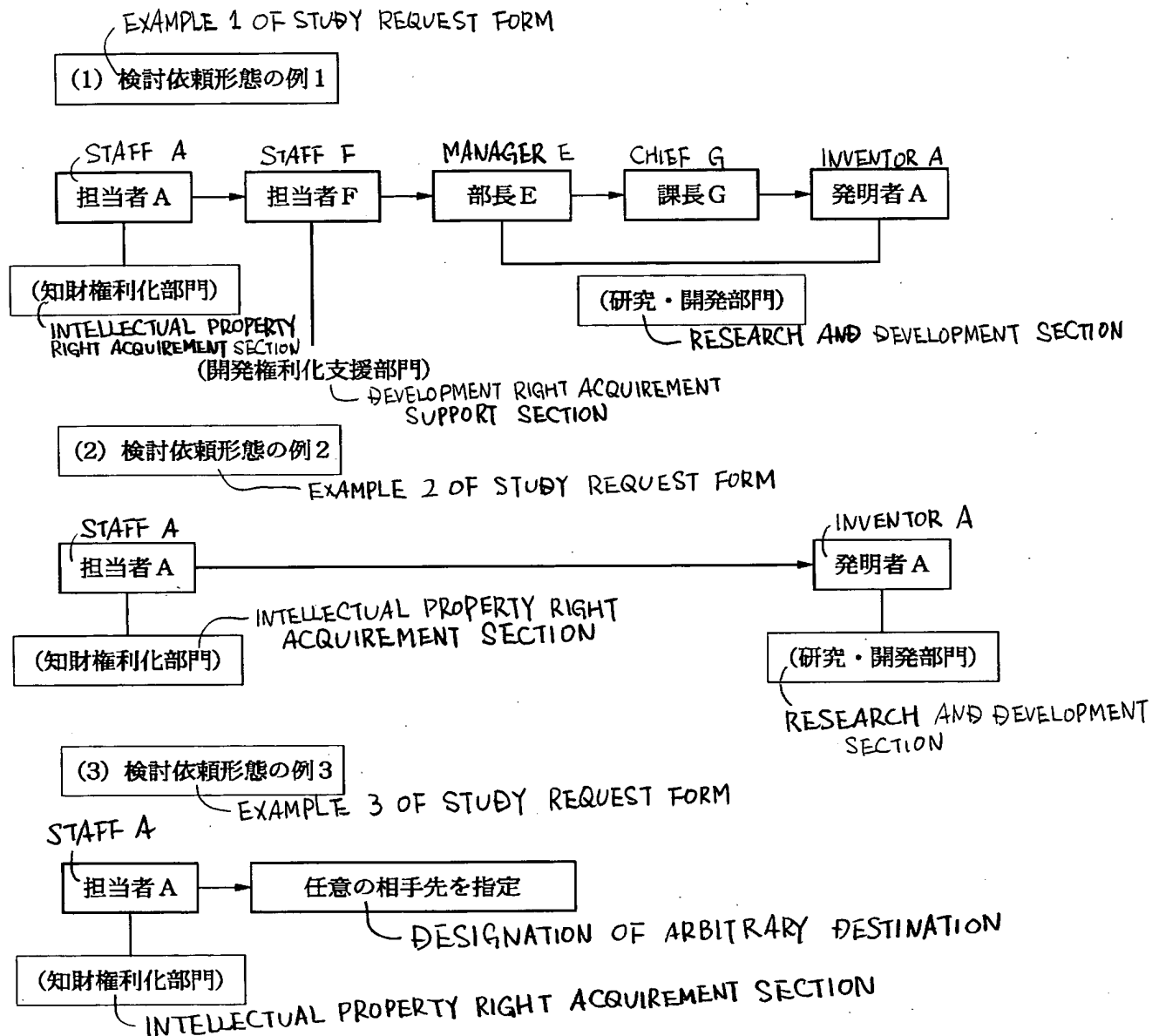
NOTE



☒ 8 (FIG. 8)

- ① •WHEN NOTICE OF DECISION OF FINAL REJECTION IS RECEIVED AND REGISTERED IN SYSTEM.  
•WHEN FINAL REJECTION DECISION RESPONSE CASE DEMANDING ONLY APPEAL TRIAL IS COMPLETED.
- ② •IT IS POSSIBLE TO GENERATE BY INTELLECTUAL PROPERTY RIGHT ACQUIREMENT SUPPORT SECTION AND PATENT FIRM (IN CASE OF PAPER INPUT).
- ③ •LEGAL EXPIRATION DATE IS SET. IF NOTICE OF REASONS FOR REJECTION IS ELECTRONIC DATA, IT IS TAKEN IN. IF NOTICE IS PAPER, OPERATOR INPUTS IT BY SCANNER.  
•IN CASE OF GENERATING BASED ON FINAL REJECTION DECISION RESPONSE CASE DEMANDING ONLY APPEAL TRIAL, IT IS GENERATED IN STATE OF "COMPLETION OF APPEAL TRIAL DEMAND". AT THIS TIME, ARGUMENT IS AUTOMATICALLY GENERATED.
- ④ •RESPONSE (DEFAULT)  
•NO-RESPONSE...THIS INFORMATION IS NOT DISPLAYED ON SCREEN OF PERSON IN CHARGE. THIS INFORMATION IS RE-DISPLAYED FOR CHECK \*\*DAYS (USER CAN SET \*\*) BEFORE LEGAL EXPIRATION DATE.  
•DECISION OF NO-RESPONSE...THIS CAN BE DESIGNATED ONLY AT TIME OF THE RE-DISPLAY. IT IS COMPLETED (OR EXTINGUISHED) BY THIS PROCESSING.
- ⑤ IT IS COMPLETED WHEN FILE OF RESULT RESPONDED TO PATENT OFFICE IS FORMALLY REGISTERED IN SYSTEM.
- ⑥ WHEN DISPOSAL OF DECISION OF NO-RESPONSE IS MADE.
- ⑦ •RESPECTIVE PROCESSING ARE EXECUTED ACCORDING TO RESPECTIVE DOCUMENTS OF TIME OF RESPONSE TO PATENT OFFICE. IN CASE OF RESPONSE PROCESSING OF DEMANDING ONLY APPEAL TRIAL, FINAL REJECTION DECISION RESPONSE ACTION CASE IS GENERATED BY USING SAME FILE NO.
- ⑧ NOTICE OF TRIAL (WHEN IT IS GENERATED BASED ON FINAL REJECTION DECISION RESPONSE CASE DEMANDING ONLY APPEAL TRIAL).

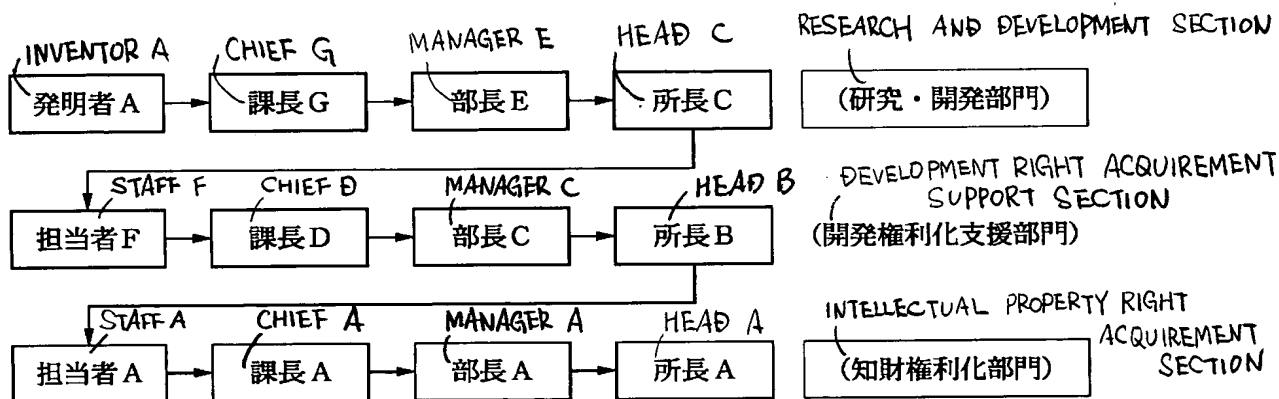
【図 9】 FIG. 9



【図10】 FIG. 10

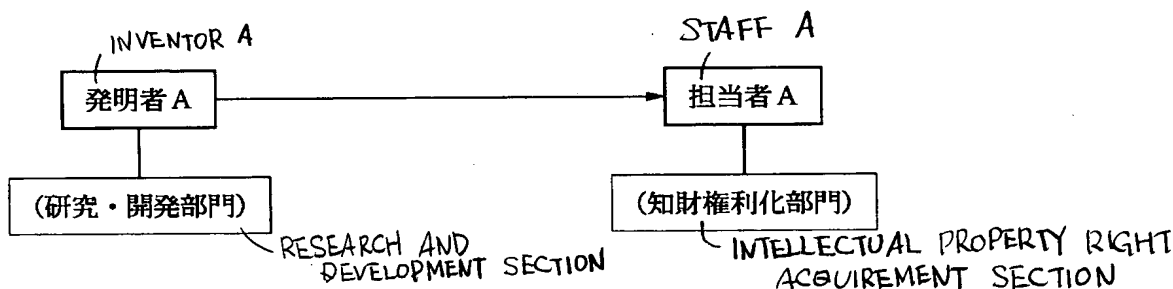
EXAMPLE 1 OF APPROVAL FORM

(1) 承認形態の例1



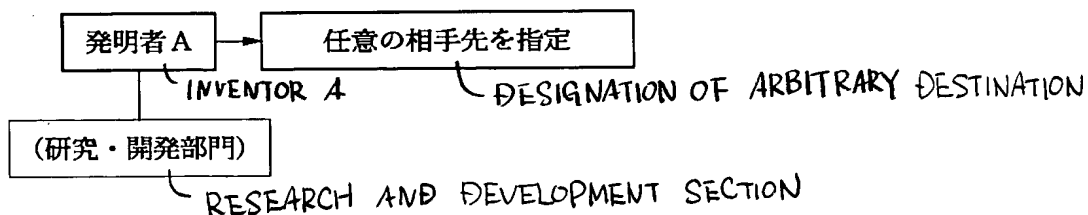
EXAMPLE 2 OF APPROVAL FORM

(2) 承認形態の例2

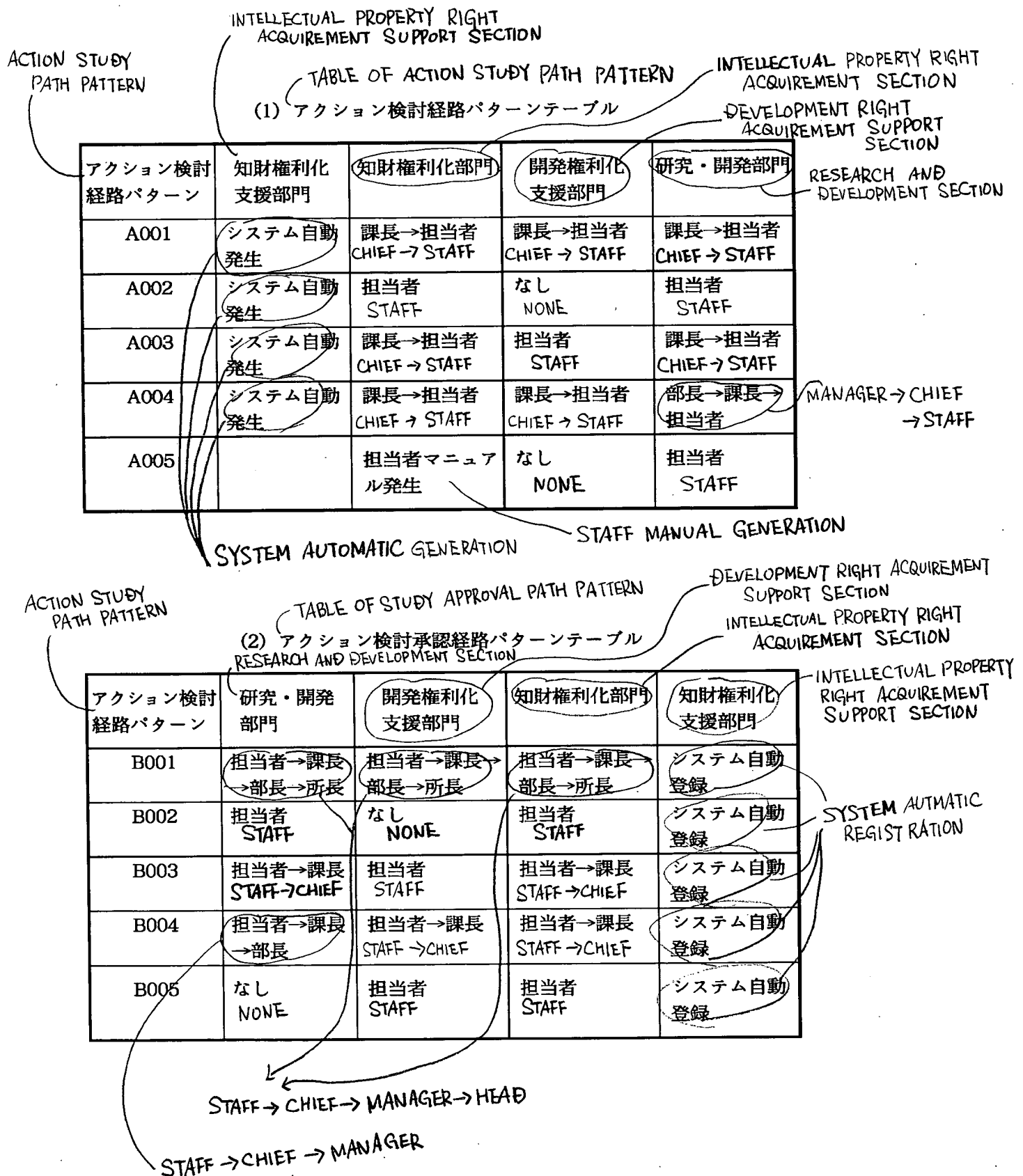


(3) 承認形態の例3

EXAMPLE 3 OF APPROVAL FORM



【図11】 FIG. 11



【図12】FIG. 12

RESEARCH AND DEVELOPMENT SECTION

TABLE OF PATH PATTERN BY SECTION

(3) 部門別経路パターンテーブル

ACTION STUDY PATH PATTERN

ACTION STUDY APPROVAL PATH PATTERN

	研究・開発部門	アクション検討 経路パターン	アクション検討承 認経路パターン
DEVELOPMENT SECTION A	開発部門 A	A001	B001
DEVELOPMENT SECTION B	開発部門 B	A002	B002
DEVELOPMENT SECTION C	開発部門 C	A003	B003
DEVELOPMENT SECTION D	開発部門 D	A004	B004
DEVELOPMENT SECTION E	開発部門 E	A005	B005

INTELLECTUAL PROPERTY RIGHT ACQUIREMENT SECTION

TABLE OF PATH PATTERN BY STAFF OF  
INTELLECTUAL PROPERTY RIGHT ACQUIREMENT SECTION

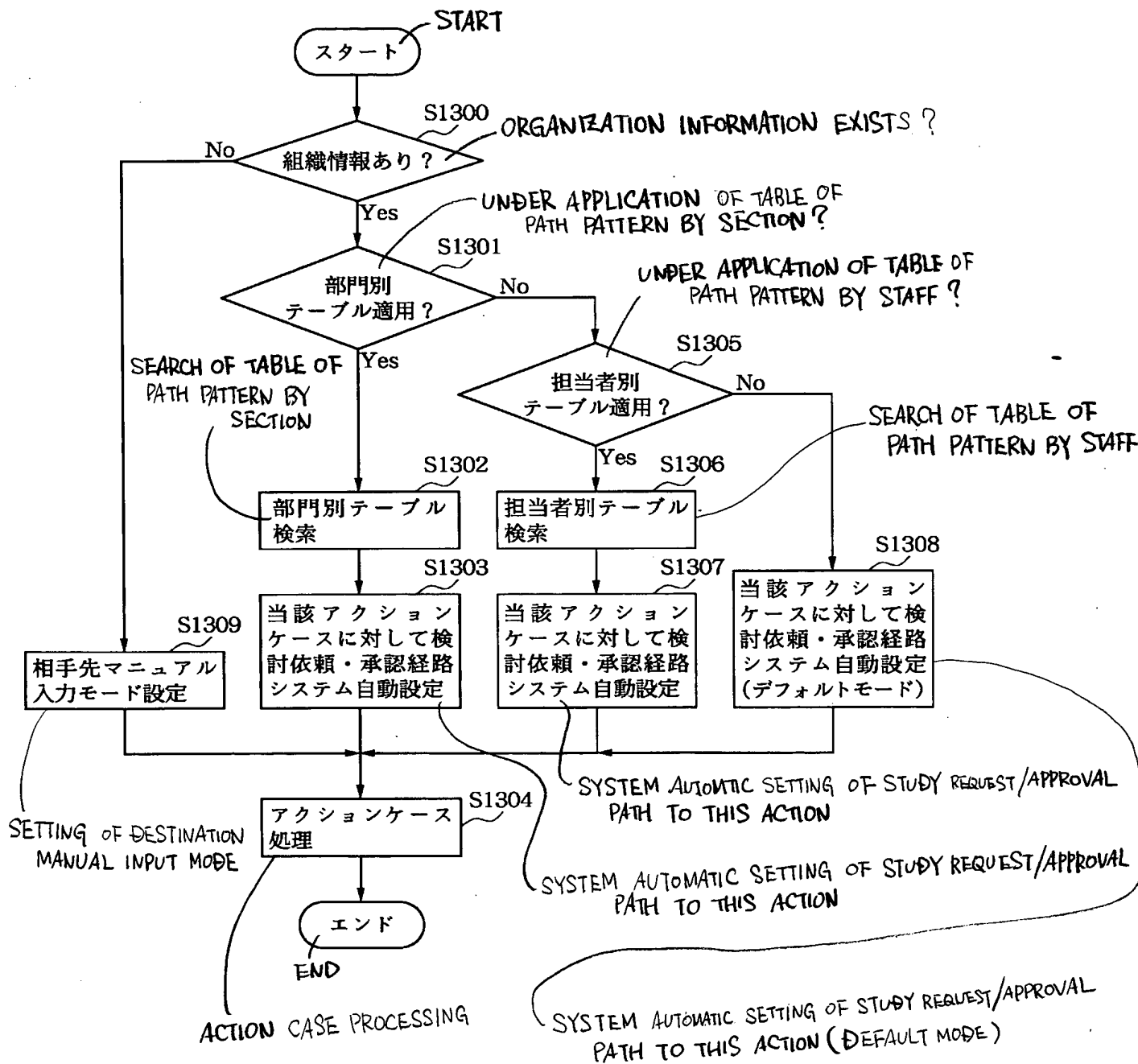
(4) 知財権利化担当者別経路パターンテーブル

ACTION STUDY PATH PATTERN

ACTION STUDY APPROVAL PATH PATTERN

	知財権利化部門	アクション検討 経路パターン	アクション検討承 認経路パターン
STAFF A	担当者 A	A001	B005
STAFF B	担当者 B	A002	B004
STAFF C	担当者 C	A003	B003
STAFF D	担当者 D	A004	B002
STAFF E	担当者 E	A005	B001

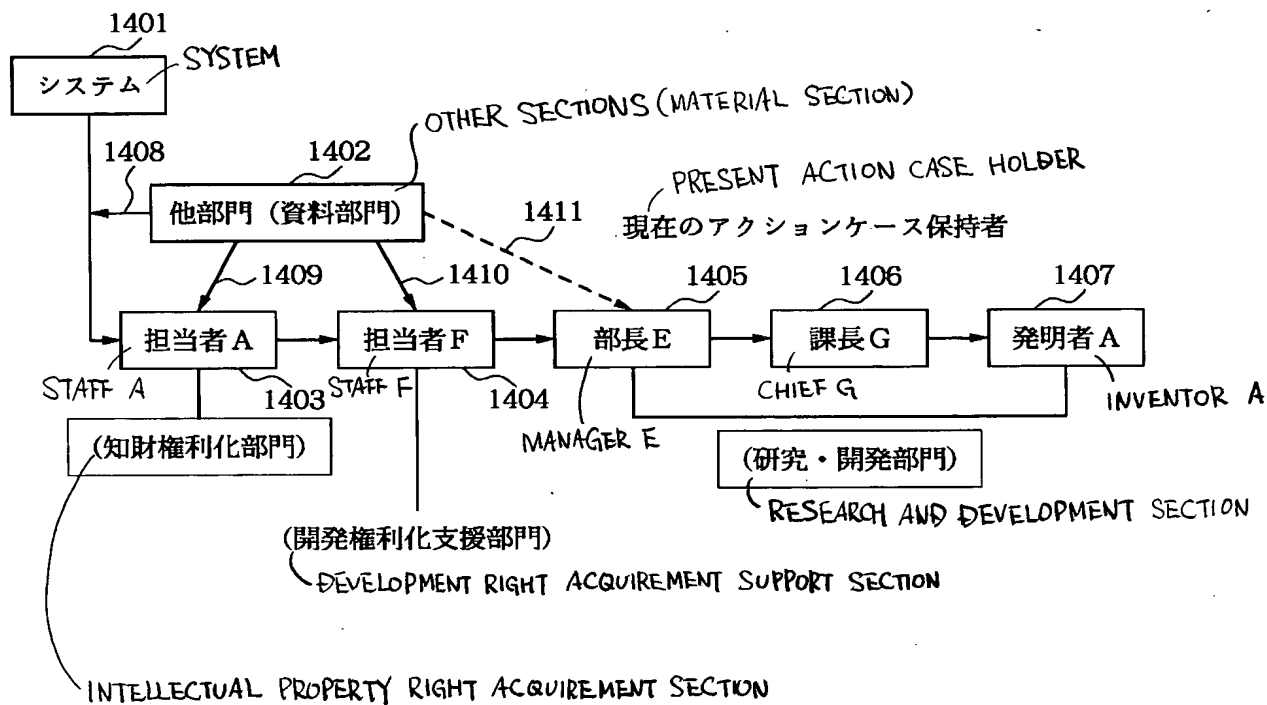
【図13】 FIG.13



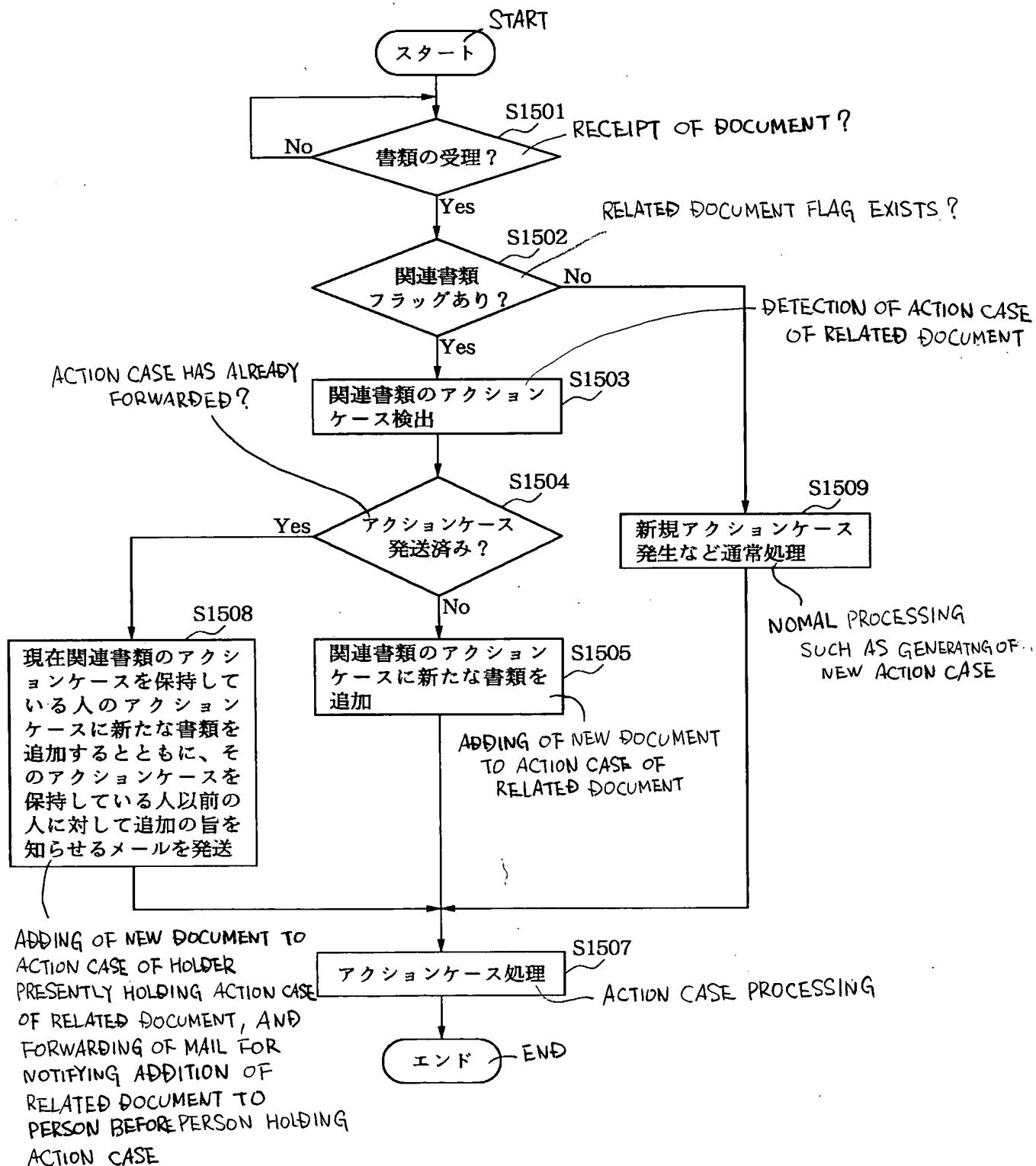
【図 1 4】 FIG. 14

DOCUMENT FOLLOW-UP FUNCTION EXPLANATION DIAGRAM

書類あと追機能説明図

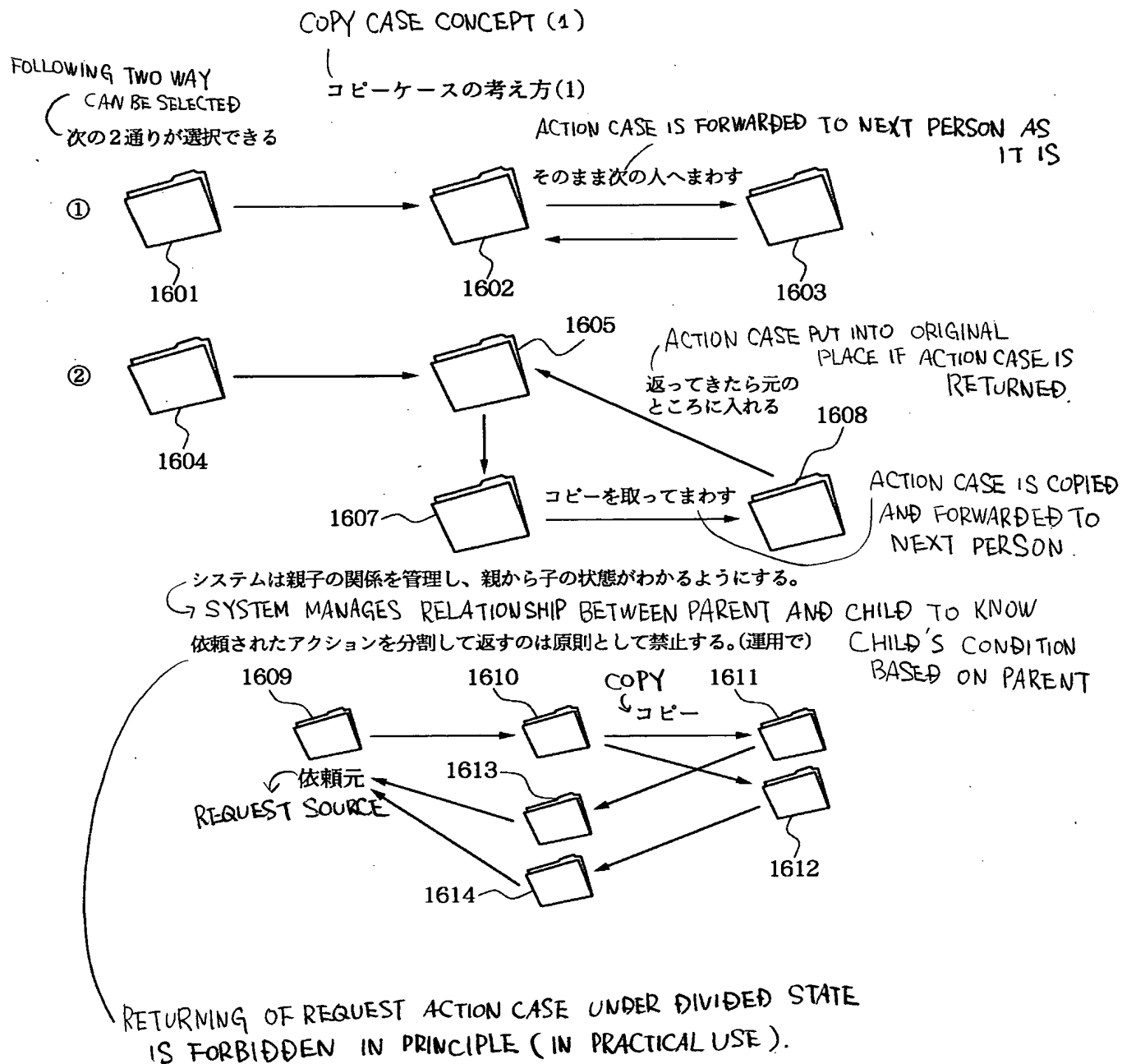


【図15】 FIG. 15

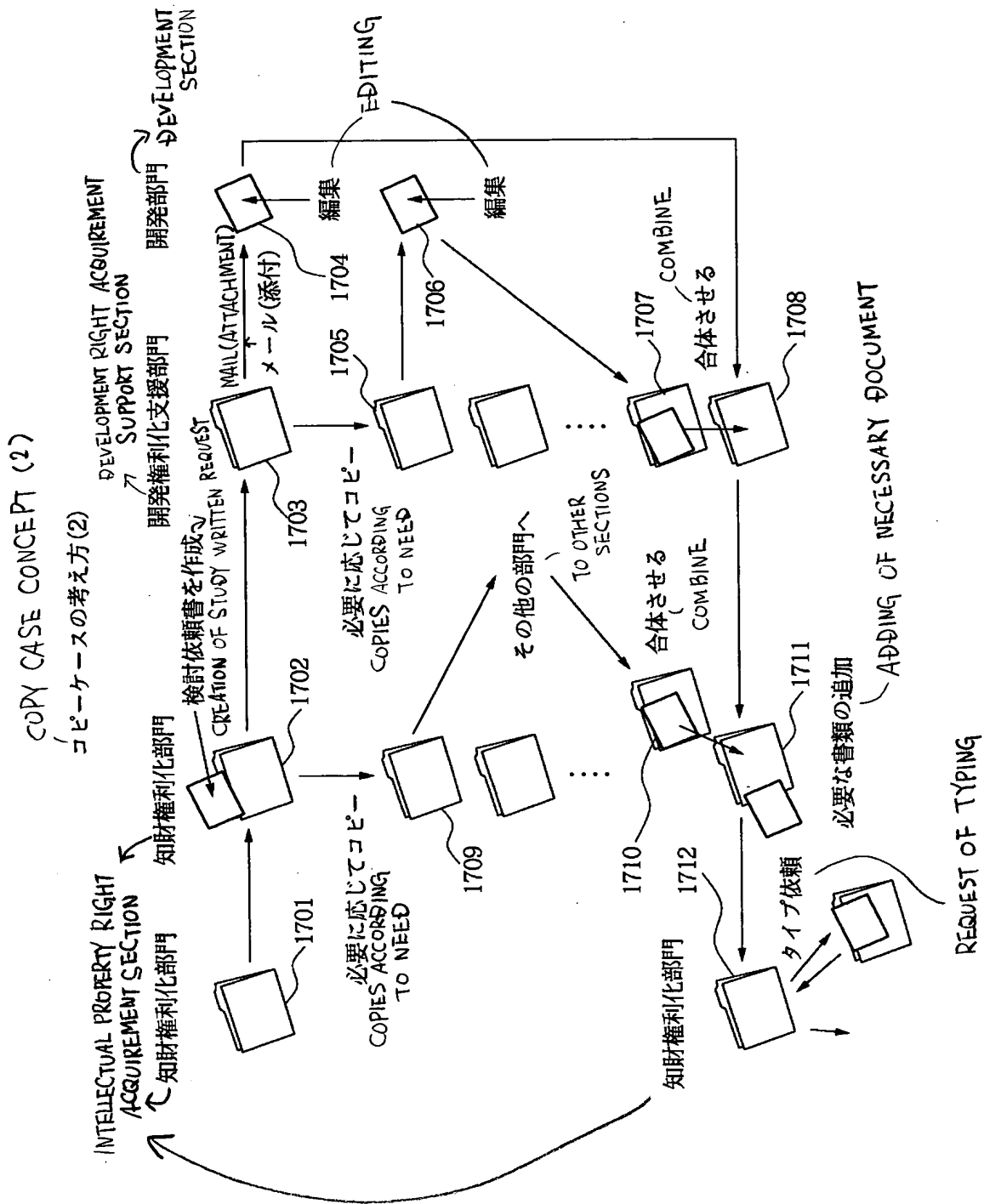




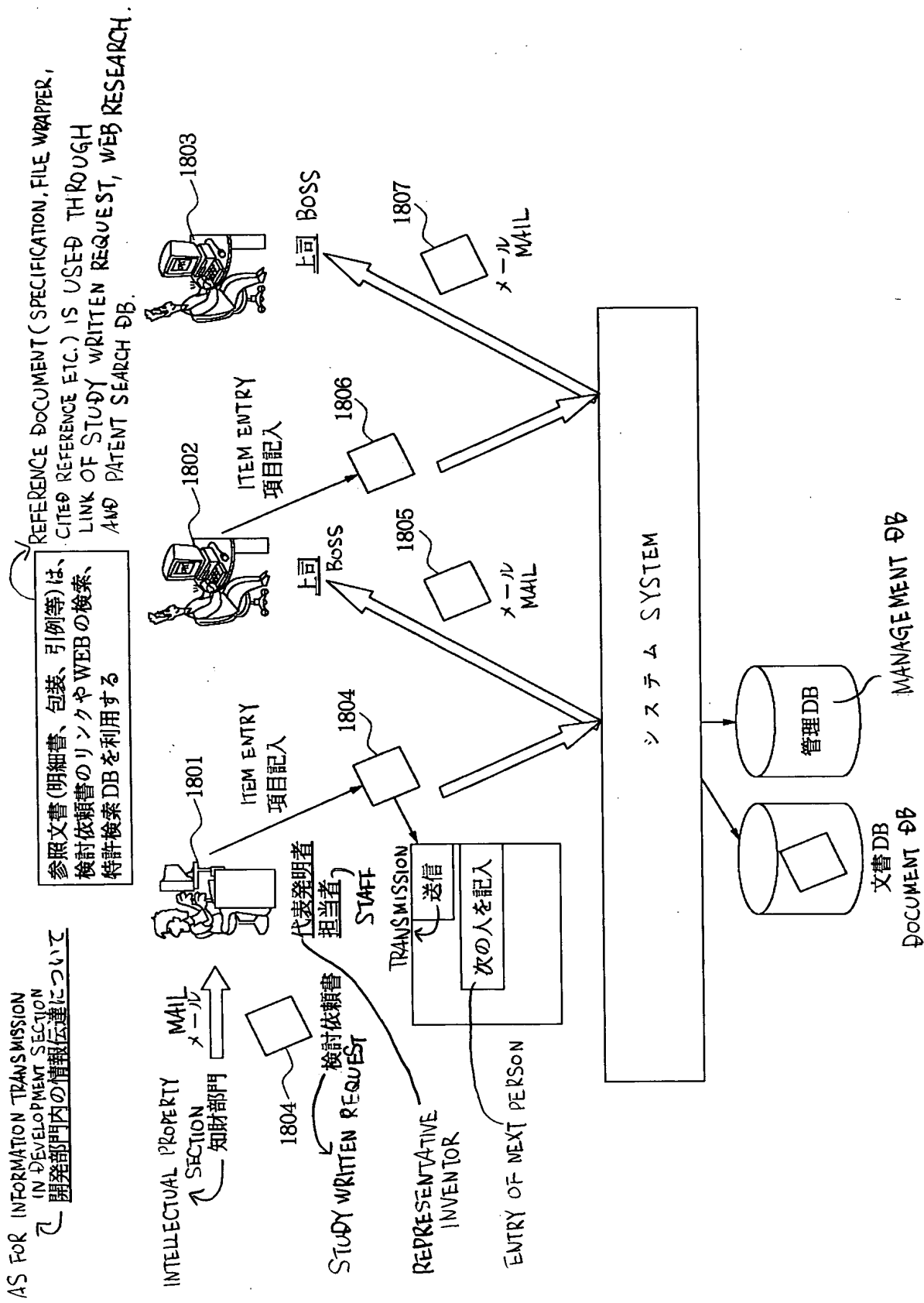
【図16】 FIG. 16



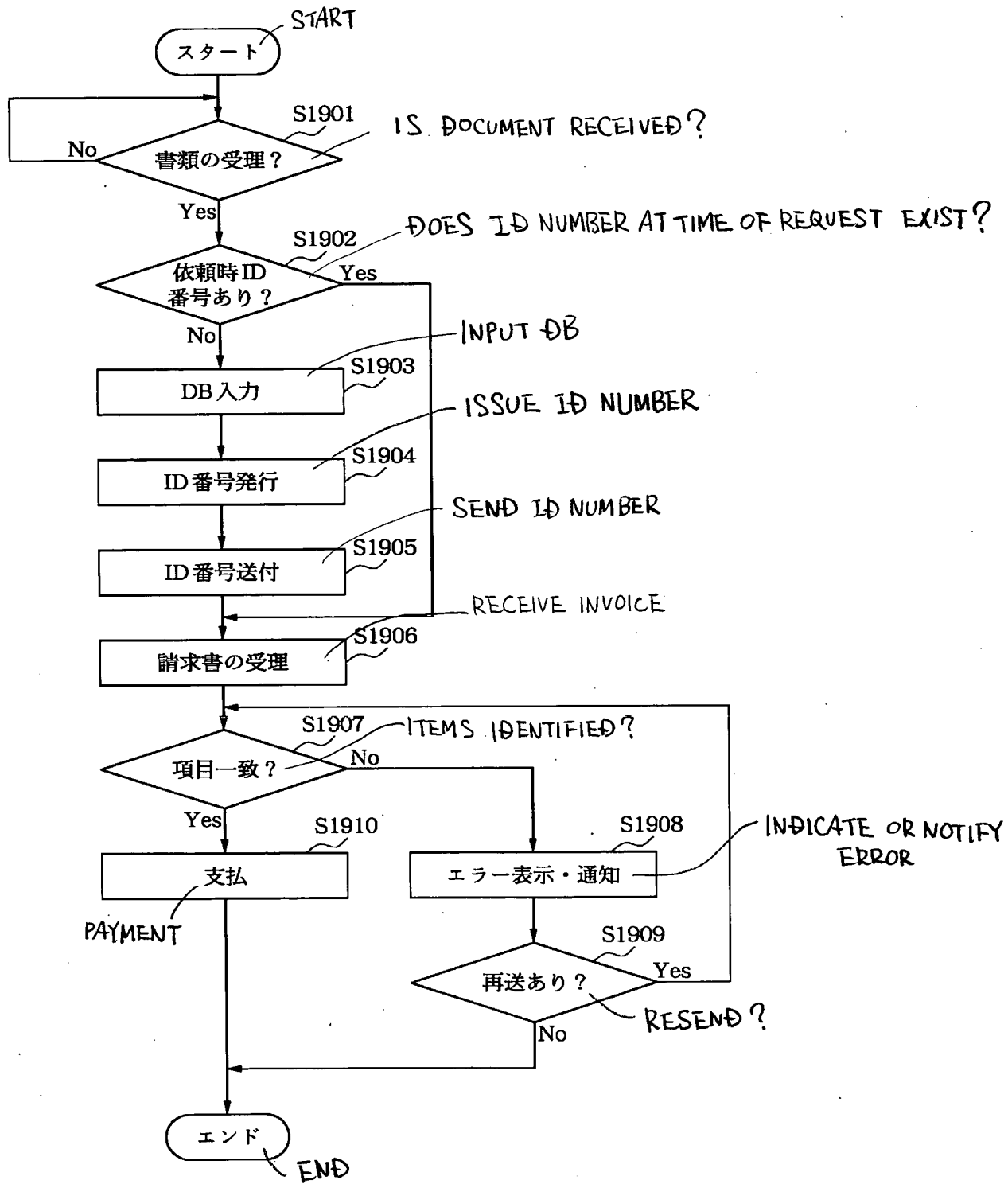
【図17】 FIG. 17



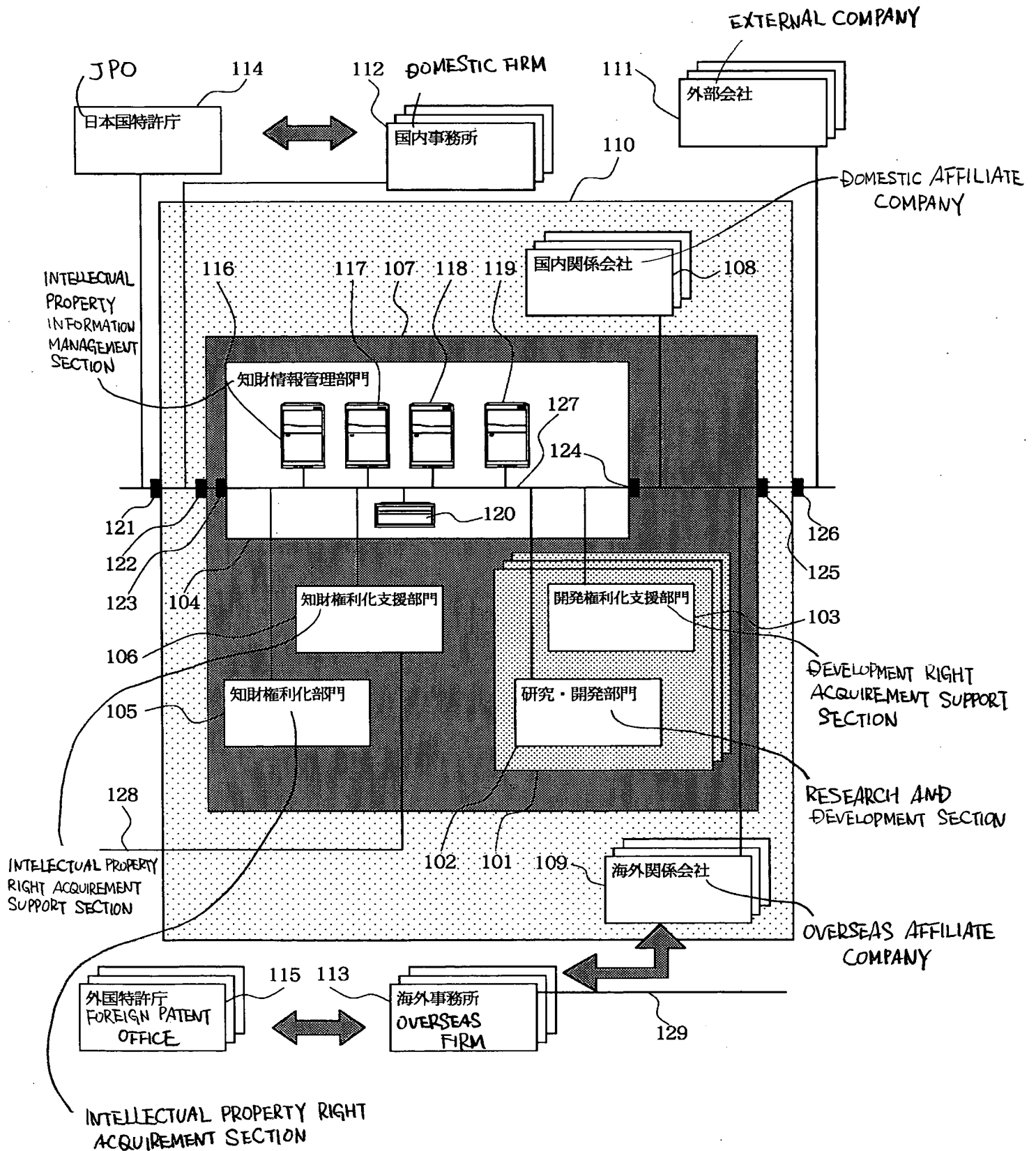
【図18】 FIG. 18



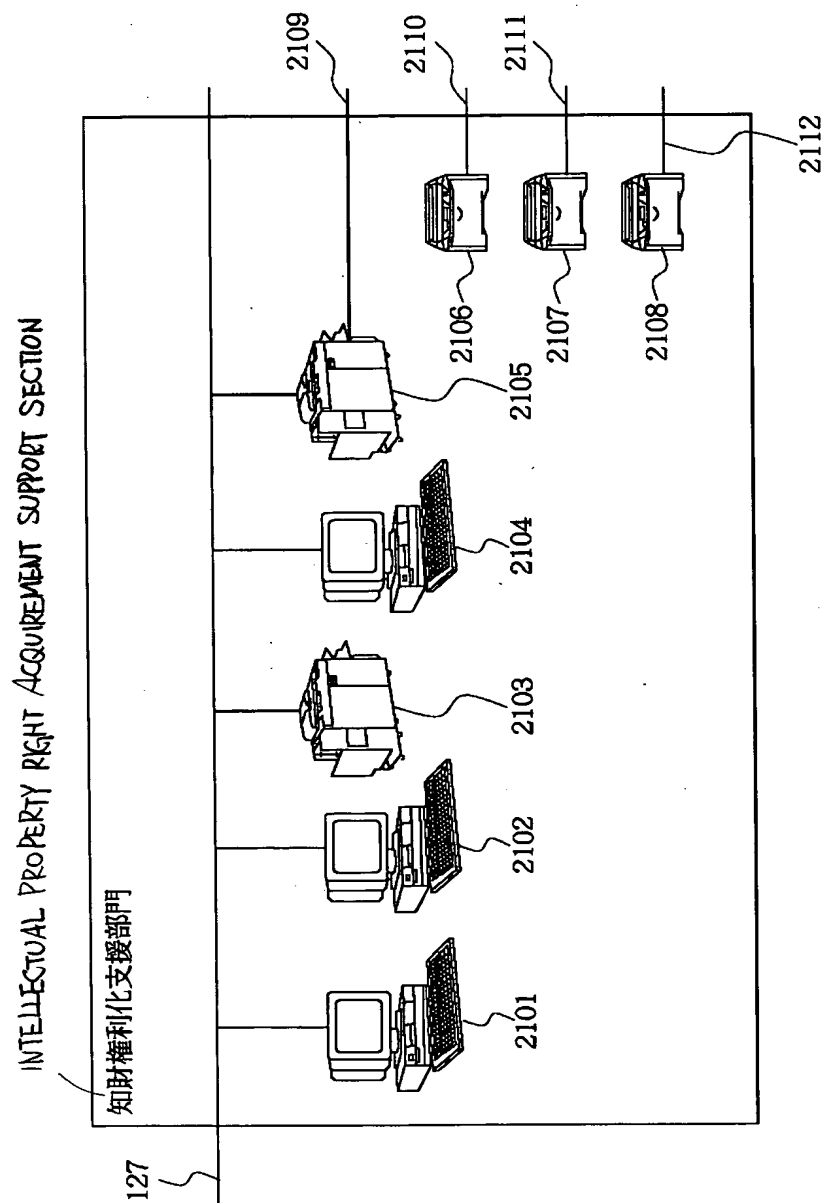
【図19】 FIG. 19



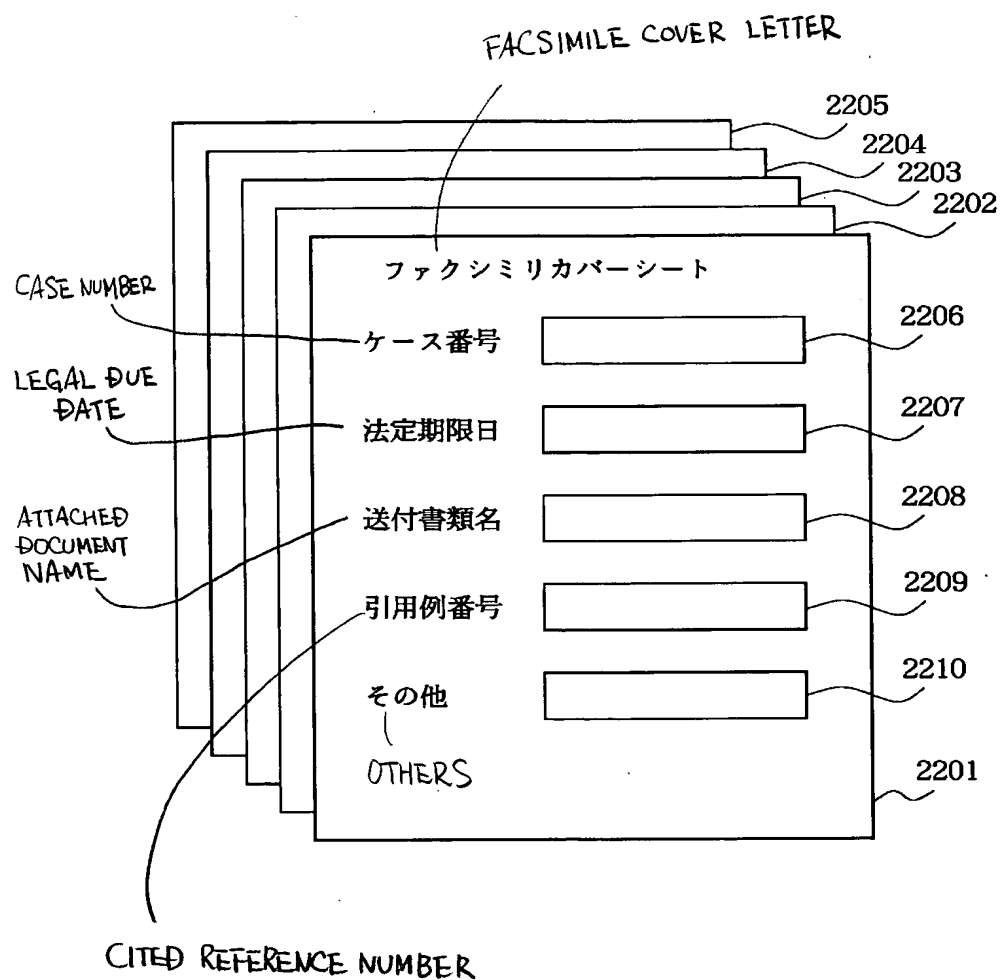
【図20】FIG. 20



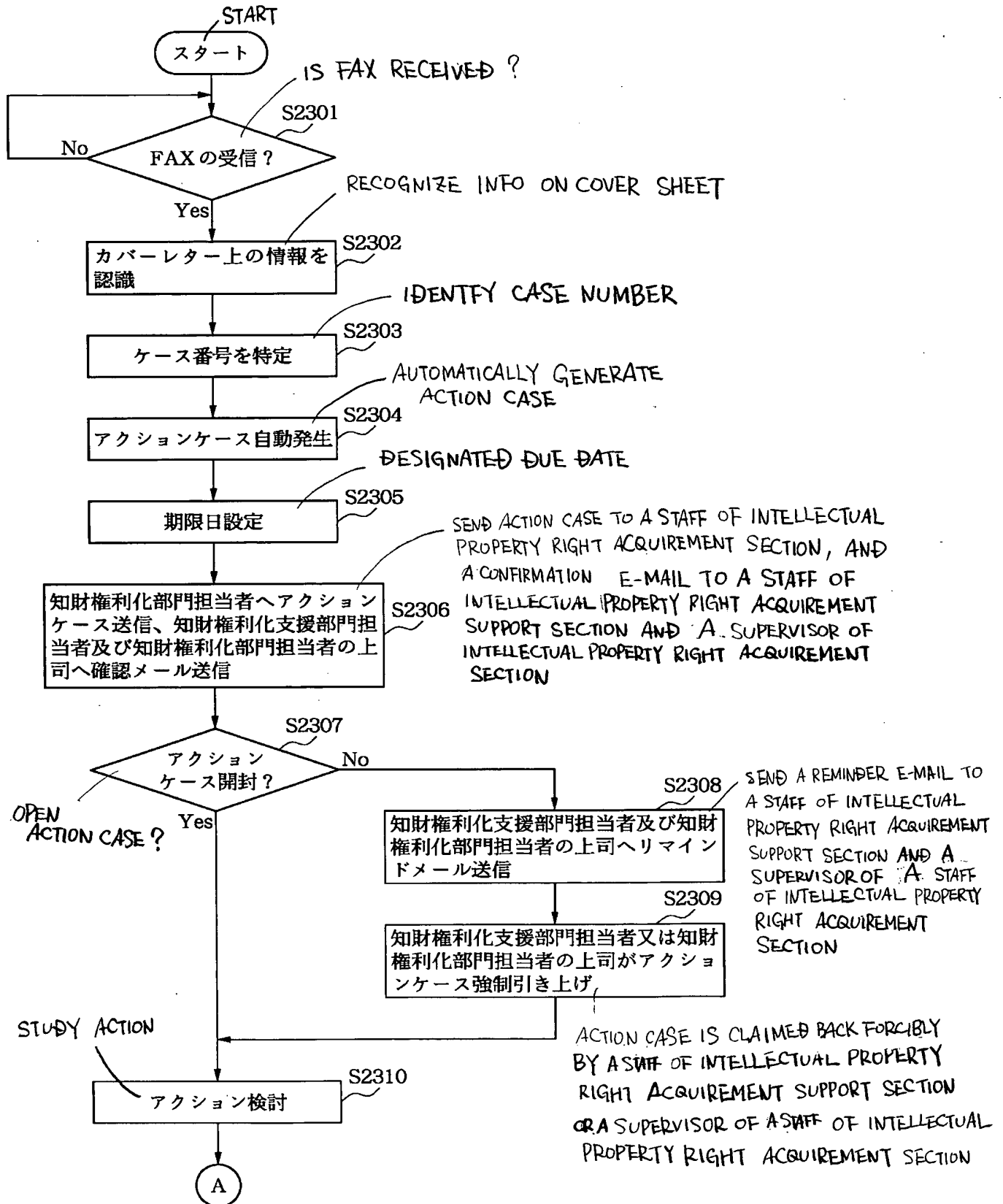
【図21】 FIG. 21



【図22】 FIG. 22

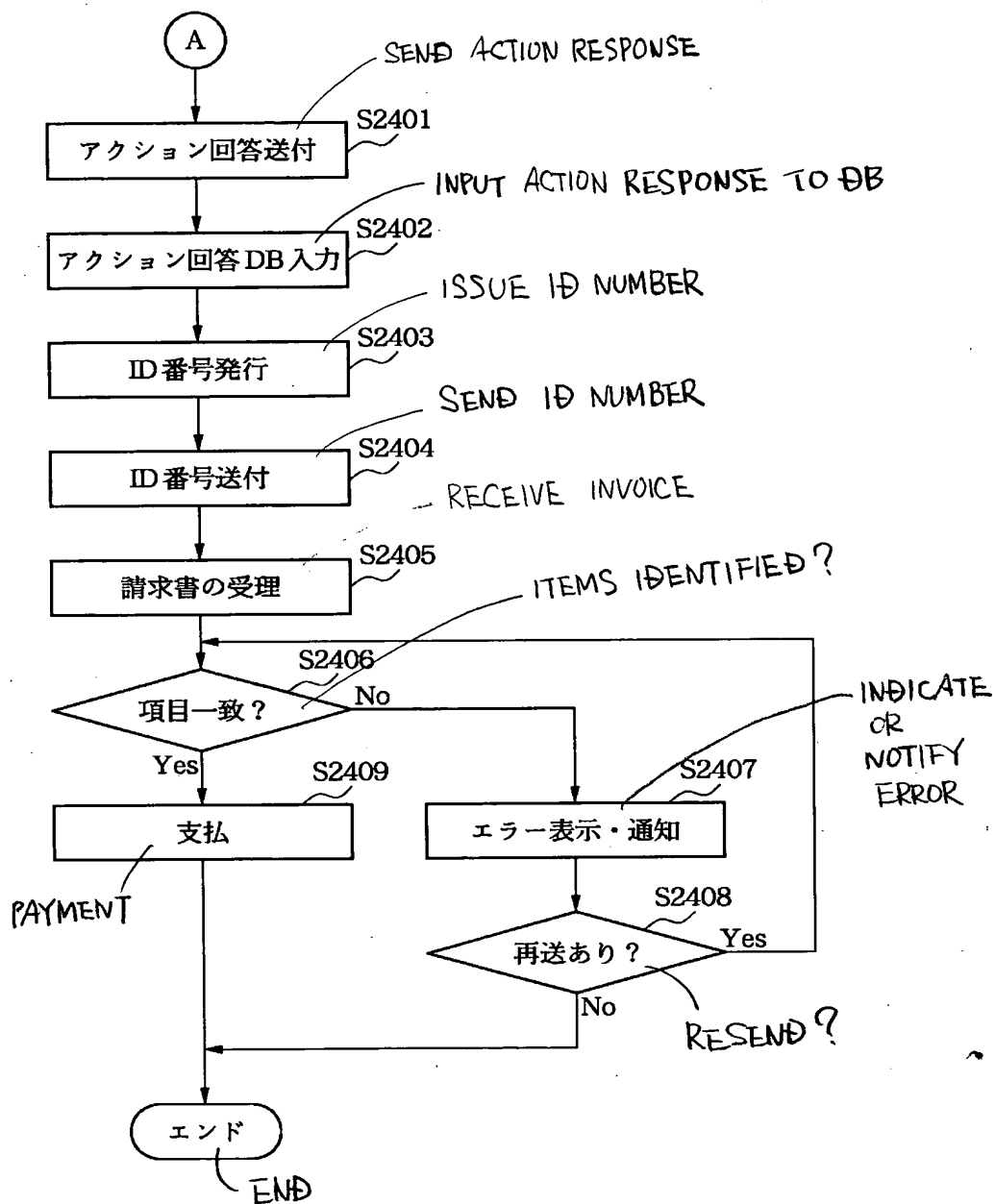


【図23】FIG. 23

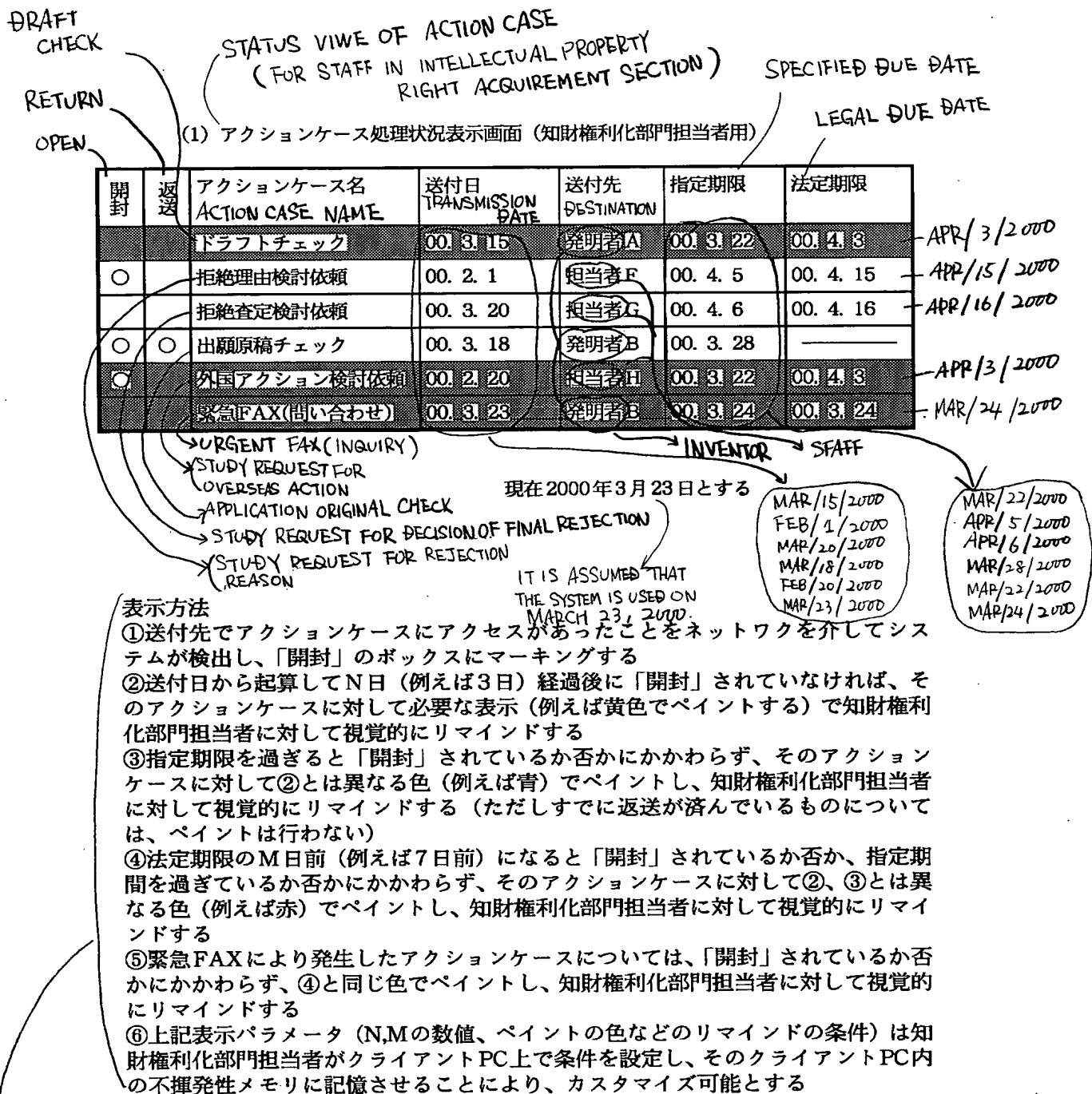




【図24】 FIG. 24

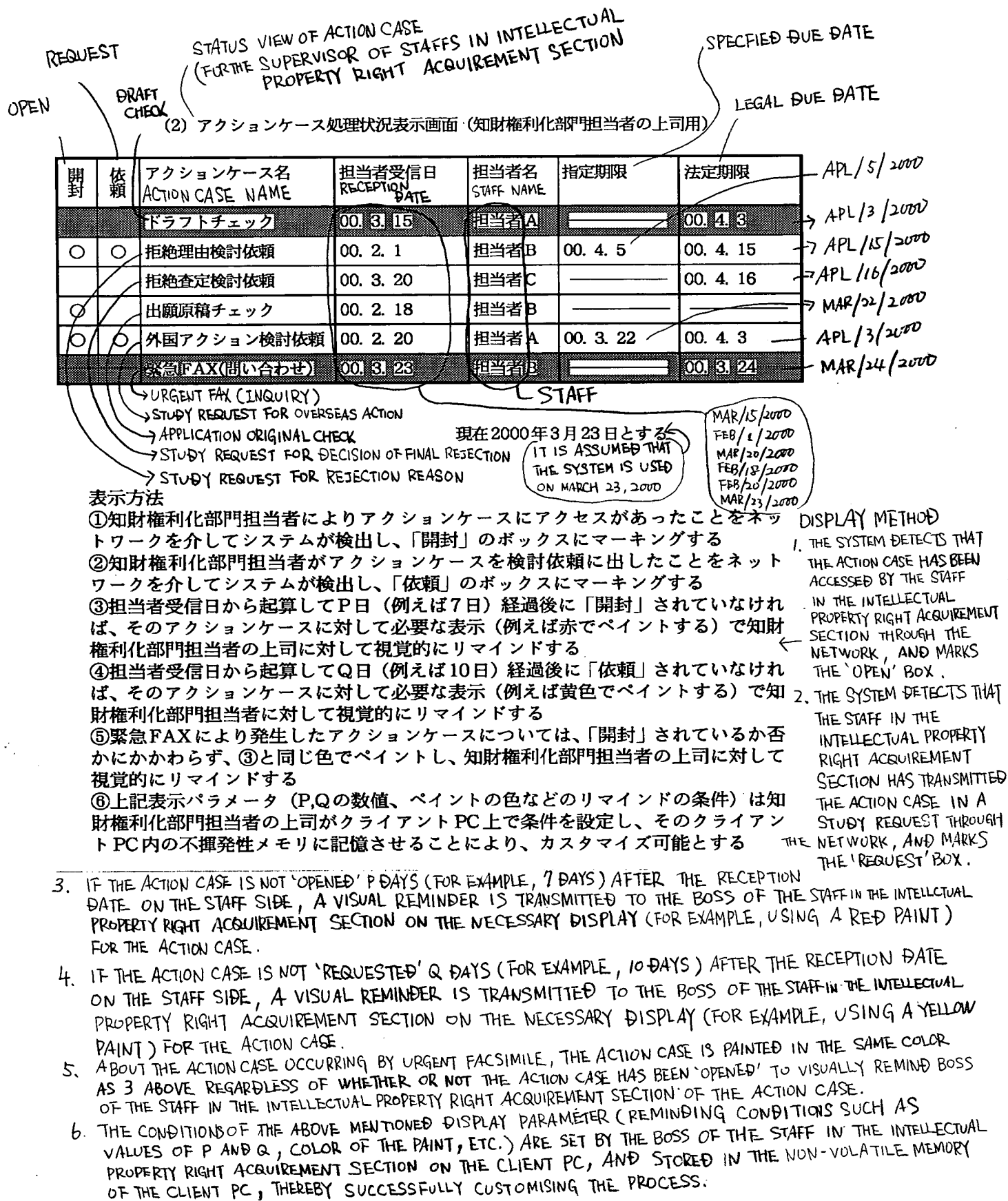


【図25】 FIG. 25

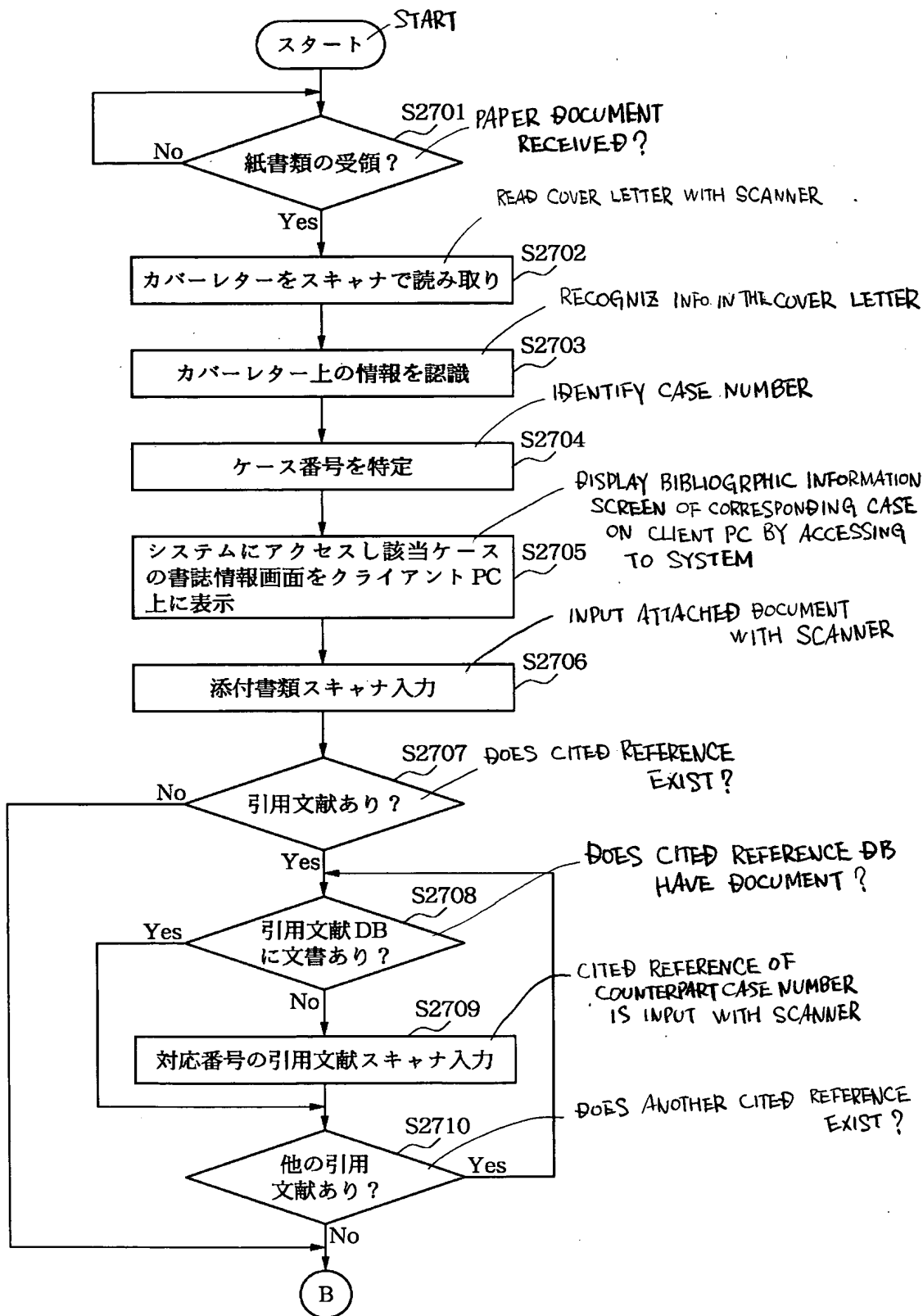


- DISPLAY METHOD
1. THE SYSTEM DETECTS THAT THE ACTION CASE HAS BEEN ACCESSED AT THE DESTINATION, AND THE 'OPEN' BOX IS MARKED.
  2. IF THE ACTION CASE IS NOT 'OPENED' N DAYS (FOR EXAMPLE, 3 DAYS) AFTER THE TRANSMISSION DATE, A VISUAL REMINDER IS TRANSMITTED TO THE STAFF OF THE INTELLECTUAL PROPERTY RIGHT ACQUIREMENT SECTION ON THE NECESSARY DISPLAY (FOR EXAMPLE, USING A YELLOW PAINT) FOR THE ACTION CASE.
  3. WHEN THE SPECIFIED DUE DATE HAS BEEN PASSED, THE ACTION CASE IS PAINTED IN COLOR (FOR EXAMPLE, BLUE) DIFFERENT FROM THE COLOR OF 2 ABOVE REGARDLESS OF WHETHER OR NOT THE ACTION CASE HAS BEEN 'OPENED' AS A VISUAL REMINDER TO THE STAFF OF THE INTELLECTUAL PROPERTY RIGHT ACQUIREMENT SECTION (HOWEVER, AN ALREADY RETURNED ACTION CASE IS NOT PAINTED).
  4. M DAYS (FOR EXAMPLE, SEVEN DAYS) PRIOR TO THE LEGAL DUE DATE, THE ACTION CASE IS PAINTED IN COLOR (FOR EXAMPLE, RED) DIFFERENT FROM THE COLORS OF 2 AND 3 ABOVE REGARDLESS OF WHETHER OR NOT THE ACTION CASE HAS BEEN 'OPENED', OR WHETHER OR NOT THE SPECIFIED DUE DATE HAS BEEN PASSED, THEREBY VISUALLY REMINDING THE STAFF IN THE INTELLECTUAL PROPERTY RIGHT ACQUIREMENT SECTION OF THE ACTION CASE.
  5. ABOUT THE ACTION CASE OCCURRING BY URGENT FACSIMILE, THE ACTION CASE IS PAINTED IN THE SAME COLOR AS 4 ABOVE REGARDLESS OF WHETHER OR NOT THE ACTION CASE HAS BEEN 'OPENED' TO VISUALLY REMIND THE STAFF IN THE INTELLECTUAL PROPERTY RIGHT ACQUIREMENT SECTION OF THE ACTION CASE.
  6. THE CONDITIONS OF THE ABOVE MENTIONED DISPLAY PARAMETER (REMINDING CONDITIONS SUCH AS VALUES OF N AND M, COLOR OF THE PAINT, ETC.) ARE SET BY THE STAFF IN THE INTELLECTUAL PROPERTY RIGHT ACQUIREMENT SECTION ON THE CLIENT PC, AND STORED IN THE NON-VOLATILE MEMORY OF THE CLIENT PC, THEREBY SUCCESSFULLY CUSTOMIZING THE PROCESS.

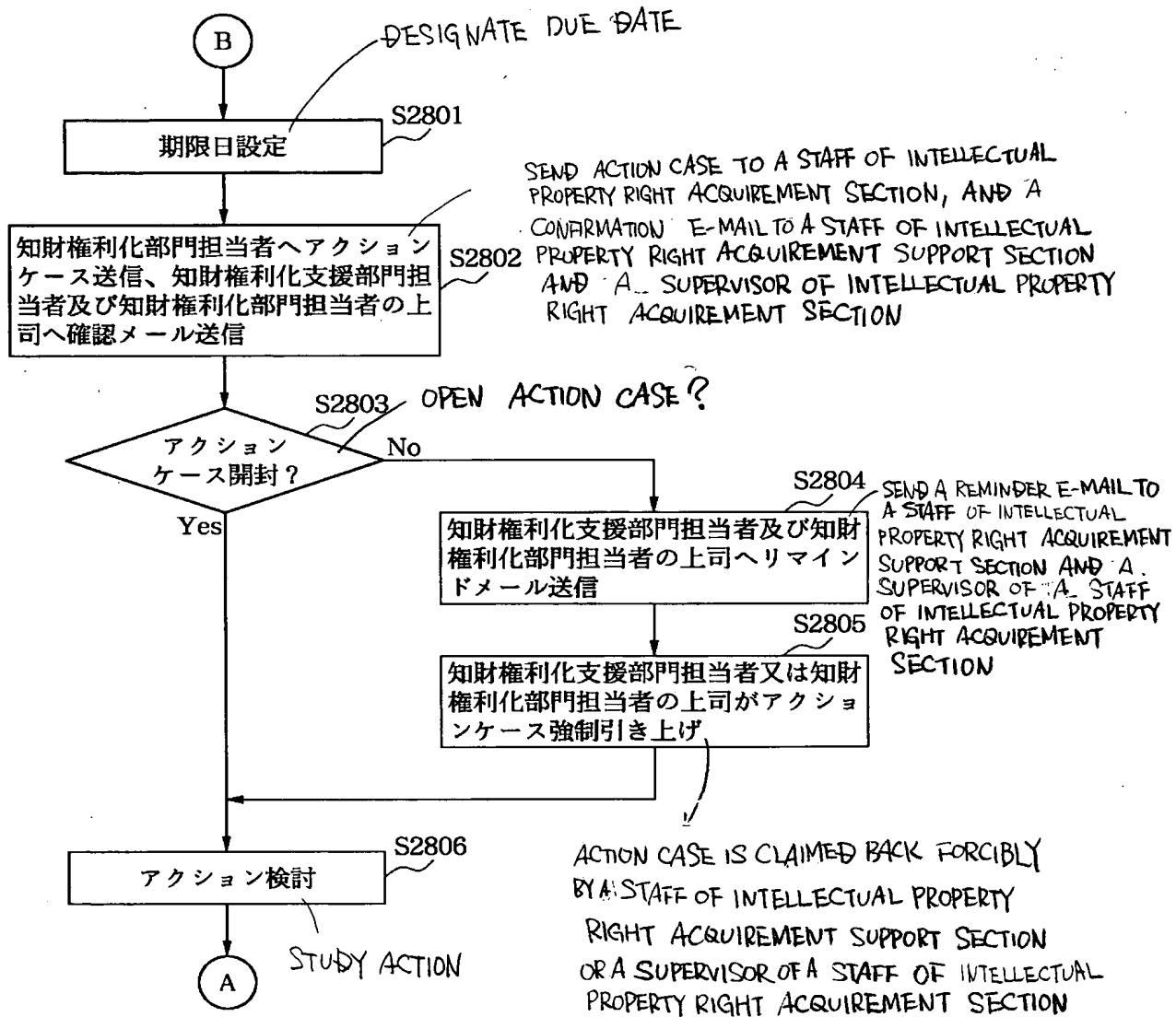
【図26】FIG.26



【図27】 FIG.27



【図28】 FIG. 28



【図29】 FIG. 29

OBTAINING FROM DATE 2901 2904 2902 2903  
POINT OF REJECTION REASON

DOC. NO.

DEVELOPMENT

JP 05-12345

SEARCH DEP.

SEARCH RESULT BY SEARCH DEP. IS DISPLAYED

THESE ARE CITED REFERENCES. HISTORY IS DISPLAYED, IF THERE ARE PLURAL CITED REFERENCES.

NO

COMPLETED

UN-NECESSARY

CASE NUMBER ケース番号: 12345US		PATENT FAMILY NUMBER ファミリー番号: 12345		FILED COUNTRY - 出願国: US, EP, AU, CA, JP	
文献番号	入手日	入手先	概要 SUMMARY	拒絶理由対象箇所 - FILL WITH A SUMMARY OF CITED DOCUMENT	差別化ポイント DIFFERENTIATION POINT
12000	1999.12.24	IDS	引用例の概要を記入します	本件(12345)との差別化ポイントを記入します。	本件(12345)との差別化ポイントを記入します。
特開平05-12345	1999.12.25	IDS	調査部門の調査結果も表示されます。	本件との差異は知財権利化部門などで記入します。	本件との差異は知財権利化部門などで記入します。
USP 123456	2000.01.08	IDS	本件の引用例です。経歴が複数の場合は左のように表示されます。	拒絶の理由の箇所があれば簡単に記入します。	本件との差別化ポイント(最新経歴での)本件との差別化ポイントを表示します。
USP 123456	2000.01.15	US			
USP 123456	2000.01.15	引例			

OBTAINED FROM		入手日 OBTAINING DATE		概要 SUMMARY	
IDS	ファミリー名 FAMILY NAME	文献番号 DOC NO.	入手先	入手日	概要
未	12345EP	DE 1200001	SR	2000.02.08	情報開示をしていない場合は「未」と表示されます。(US,CN)
済	12345AU	USP 123456	引例	1999/12/29	情報開示をした場合には「済」と表示されます。
済	12345CA	USP 123456	引例	2000/01/15	同じ引例でも、ファミリーが異なる場合には表示されます。
不要	12345JP	特開平05-12345	引例	2000/02/08	USP123456の対応JPが引例となった場合。(USP123456とは別に項目を持っているので、開示内容にずれがある場合にはその内容を概要欄に記載できます。)

FILL WITH A DIFFERENTIATION POINT FROM THIS CASE (12345).

DIFFERENTIATION POINT FROM THIS CASE IS FILLED IN INTELLECTUAL PROPERTY RIGHT ACQUISITION SECTION ETC.

DIFFERENTIATION POINT FROM THIS CASE IS DISPLAYED IN THE LATEST HISTORY.

IF IDS IS COMPLETED "COMPLETED" IS INDICATED.

IF A FAMILY IS DIFFERENT, INFO IS INDICATED EVEN THOUGH THE CITED REFERENCE IS THE SAME.

IF IDS IS NOT COMPLETED 2905 "NO" IS INDICATED (US,CN)

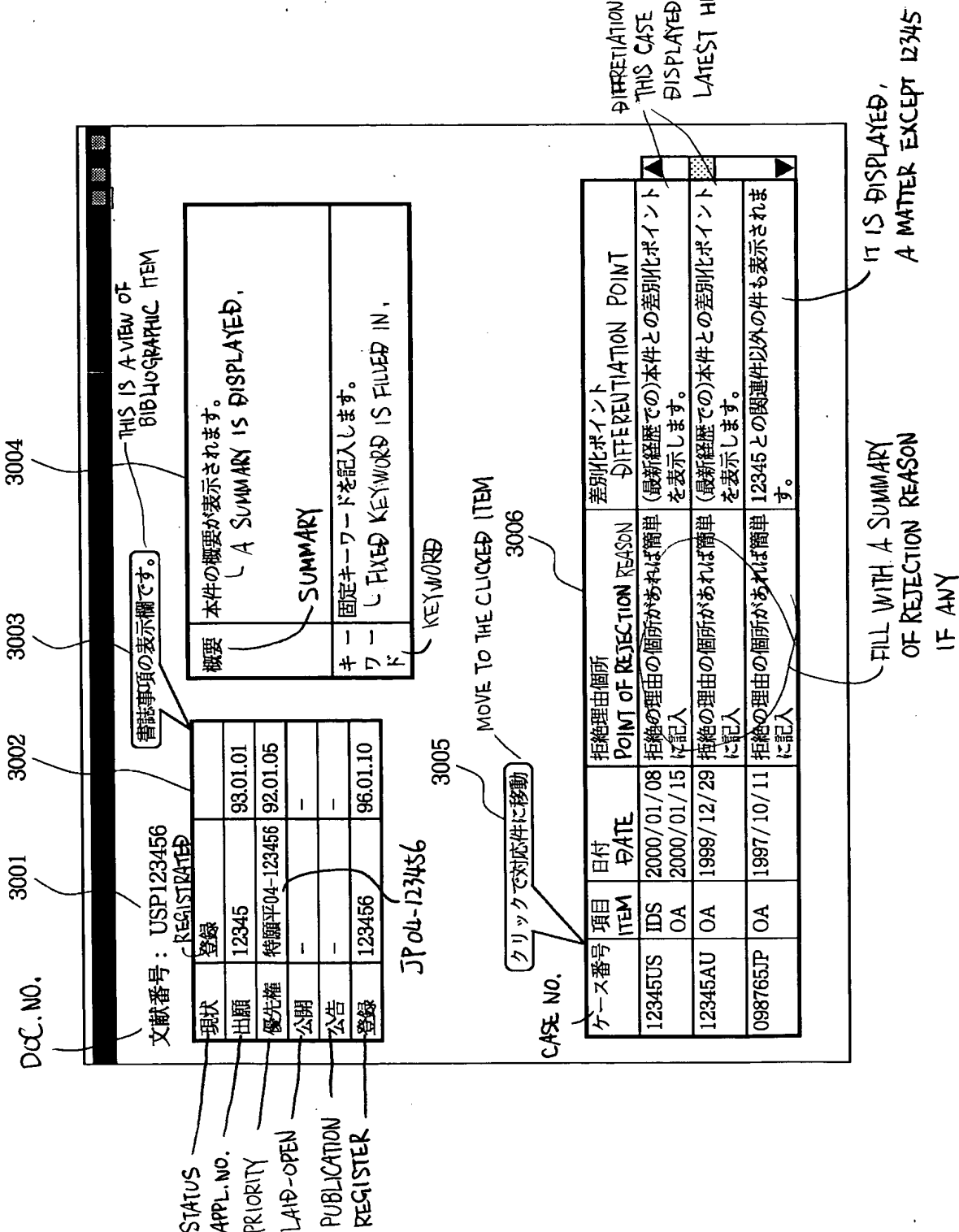
IN THE CASE THAT CITED REFERENCE IS JP COUNTERPART OF USP 123456, JP COUNTERPART HAS ITEM BESIDES USP123456. IF THERE IS A DIFFERENCE IN CONTENTS OF DISCLOSURE, IT CAN BE FILLED IN SUMMARY COLUMN.)

JP06-12345

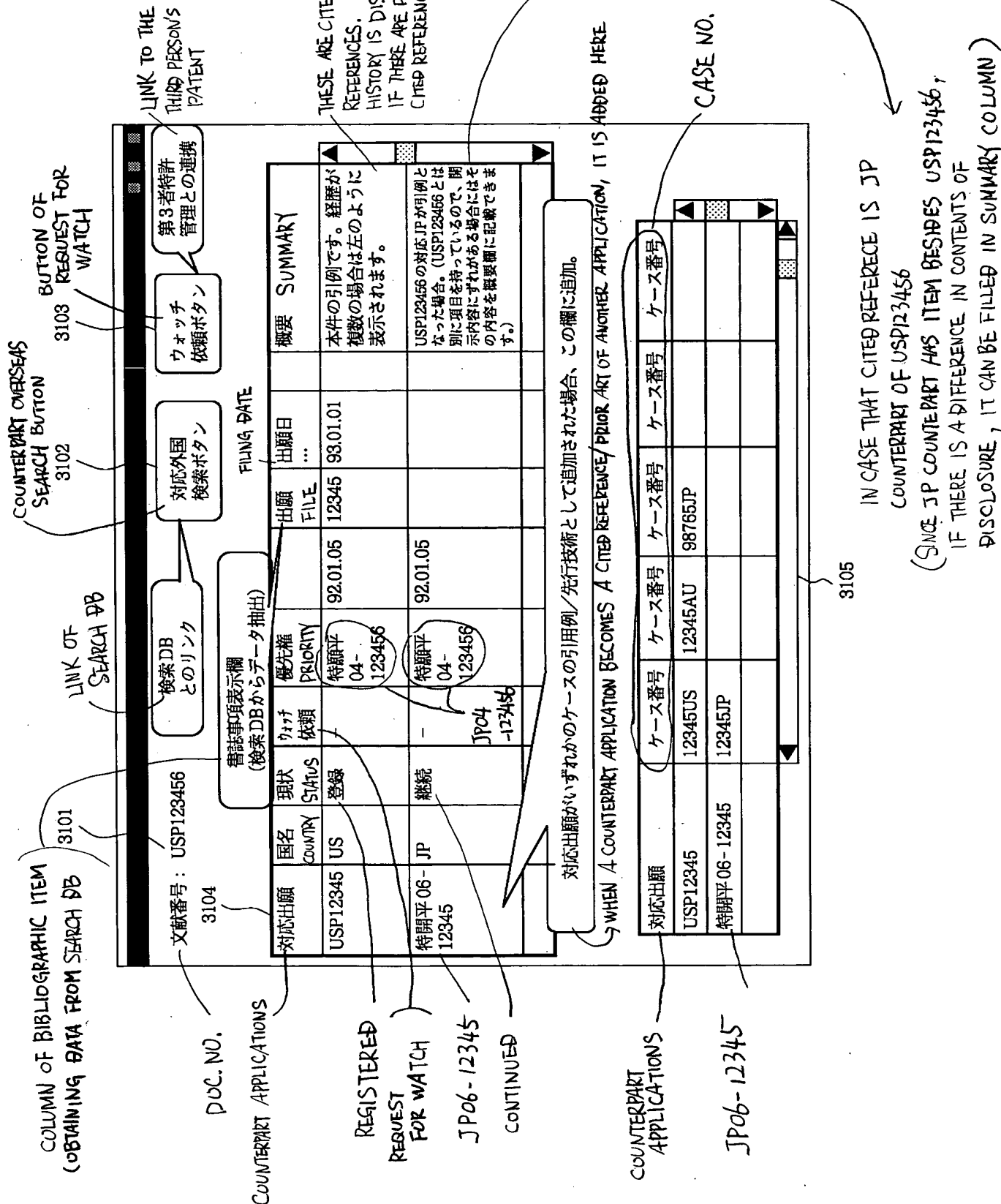
CITED REFERENCE

WHOLE DOCUMENT

【図30】 FIG. 30



【図31】 FIG. 31





DIFFERENTIATION POINT FROM THIS CASE  
IS DISPLAYED IN THE  
LATEST HISTORY.

CASE NO.		DEVELOPMENT RIGHT ACQUISITION SECTION		INTELLECTUAL PROPERTY RIGHT ACQUISITION SECTION	
3201		3202		3204 3206	
ケース番号 : 12345US 本件概要 本件の概要が表示されます。 A SUMMARY OF THIS CASE IS DISPLAYED. 固定キーワード入力欄		OA STUDY RESULT OA 検討結果 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx		HEAD MANAGER CHIEF STAFF 所長 部長 課長 担当 △△ △△ xx 00.02.05 00.02.01 00.01.31	
3203 PROCEEDING 手続 続き メニューから選択 CHOOSE FROM MENU		3205 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx		3206 自社製品 → IN HOUSE PRODUCT 自社予定 → IN HOUSE SCHEDULE 他社製品 → ANOTHER COMPANY'S PRODUCT	
3207 開発部門コメント COMMENT BY DEVELOPMENT SECTION		3208 開発権利化支援部門コメント COMMENT BY DEVELOPMENT RIGHT ACQUISITION SECTION		3209 開発権利化支援部門コメント COMMENT BY DEVELOPMENT RIGHT ACQUISITION SECTION	
3210 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx		3211 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx		3212 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx	
3213 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx		3214 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx		3215 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx	
3216 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx		3217 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx		3218 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx	
3219 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx		3220 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx		3221 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx	
3222 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx		3223 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx		3224 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx	
3225 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx		3226 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx		3227 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx	
3228 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx		3229 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx		3230 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx	
3231 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx		3232 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx		3233 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx	
3234 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx		3235 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx		3236 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx	
3237 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx		3238 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx		3239 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx	
3240 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx		3241 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx		3242 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx	
3243 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx		3244 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx		3245 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx	
3246 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx		3247 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx		3248 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx	
3249 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx		3250 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx		3251 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx	
3252 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx		3253 開発権利化支援 知財権利化部門 部門 x△ 541. 0x0 (内) xxx-xxxxx (8) xxx-xxxxx		3254 開発権利化支援 知財権利化部門 部門 x△	

【図33】 FIG. 33

3301

3302

3303

CASE NO.		DOC. NO.	
ケース番号: 12345US		文庫番号: USP123456	
STATUS	登録 REGISTERED	STATUS	登録 REGISTERED
APPL. NO.	1234	APPL. NO.	1234
PRIORITY	特願平 04-123456	PRIORITY	特願平 04-123456
LAI D-OPEN	-	LAI D-OPEN	-
PUBLICATION	-	PUBLICATION	-
REGISTER	123456	REGISTER	123456
3304		3304	
概要		概要	
本件の引例です。入手先が複数の場合は下のように表示されます。		本件の引例です。入手先が複数の場合は下のように表示されます。	
SUMMARY		SUMMARY	
No	日付	名称	拒絶理由対象箇所
04	2000.01.08	IDS	POINT OF REJECTION REASON
06	2000/01/15	OA	拒絶の理由の箇所があれば簡単に記入
			※2回目以降に拒絶理由が発行されたときには、ここにも表示されます。
FILL WITH A SUMMARY OF REJECTION REASON IF ANY		FILL WITH A DIFFERENTIATION POINT OF IDS	
ANOTHER OA IS INDICATED HERE, WHEN IT IS ISSUED AFTER THE FIRST OA.		DIFFERENTIATION POINT FROM THIS CASE IS DISPLAYED SINCE IT IS DETAILED INFO.	
IT IS FILLED IN, DIFFERENTIATION POINT OTHER THAN BEFORE.		DIFFERENTIATION POINT FROM THIS CASE IS DISPLAYED SINCE IT IS DETAILED INFO.	

THIS IS A CITED REFERENCE, WHERE IT IS OBTAINED FROM IS DISPLAYED AS BELOW.

FILL WITH A DIFFERENTIATION POINT OF IDS

DIFFERENTIATION POINT FROM THIS CASE IS DISPLAYED SINCE IT IS DETAILED INFO.

IT IS FILLED IN, DIFFERENTIATION POINT OTHER THAN BEFORE.

ANOTHER OA IS INDICATED HERE, WHEN IT IS ISSUED AFTER THE FIRST OA.

FILL WITH A SUMMARY OF REJECTION REASON IF ANY

STATUS

APPL. NO.

PRIORITY

LAI D-OPEN

PUBLICATION

REGISTER

JP08-345678

3305

【図34】FIG. 34

CLERICAL SECTION							
ITEM SUMMARY LENGTH ATTRIBUTION INPUT BY CHECKED BY REMARKS							
No.	項目名	概要	長さ	属性	入力者	チェック	備考
1	国 COUNTRY	ISSUANCE 発行国 COUNTRY	2	英数 ①	事務部門	事務部門	入力必須 INPUT IS NEEDED
2	引例番号	REFERENCE NO.		英数字 ①	システム SYSTEM	-	公開番号があれば公開番号、 なければ文献名を表示
3	文献番号	DOC. NO.		英数字 ①	事務部門	事務部門	手入力必須
4	公開番号	LAID-OPEN NO.		英数字 ①	事務部門	事務部門	手入力必須
5	公開日 LAID-OPEN DATE	yyyy.mm.dd	10	数字 ②	事務部門	事務部門	入力必須
6	週及日 BACK DATE	yyyy.mm.dd	10	数字 ②	事務部門	事務部門	入力必須
7	出願番号 APPL. NO.			英数字 ①	事務部門	事務部門	入力必須
8	出願日 FILING DATE	yyyy.mm.dd	10	数字 ②	事務部門	事務部門	入力必須
9	公告番号 PUBLICATION NO.			英数字 ①	事務部門	事務部門	あれば入力
10	公告日 PUBLICATION DATE	yyyy.mm.dd	10	数字 ②	事務部門	事務部門	あれば入力
11	登録番号 REGISTER NO.			英数字 ①	事務部門	事務部門	あれば入力
12	登録日 REGISTER DATE	yyyy.mm.dd	10	数字 ②	事務部門	事務部門	あれば入力
13	キーワード KEYWORD	固定キー WORD FIXED KEYWORD	30	漢字 ③	開発部門	権利化部門	新規レコードが発生したOA 時に入力・随時チェック
14	概要 SUMMARY		80	漢字 ③	開発部門	権利化部門	新規レコードが発生したOA 時に入力・随時チェック
15	メモ MEMO	引例そのもの に対するメモ	100	漢字 ③	開発部門	権利化部門	新規レコードが発生したOA 時に入力・随時チェック
16	ファミリー FAMILY ↓ ファミ リ	優先権基礎出 願があればそ の願番、なけ れば引例の願 番。		英数字 ①	事務部門	事務部門	図31の作成のために必要。

DISPLAY  
LAID-OPEN  
NUMBER IF ANY,  
OR DOCUMENT  
NAME

INPUT BY HAND  
IS NEEDED

INPUT IS  
NEEDED

INPUT,  
IF NEEDED

CLERICAL  
SECTION

INPUT AND  
CHECK IN  
EACH TIME  
WHEN OA  
WITH A NEW  
RECORD IS  
ISSUED

RIGHT ACQUIREMENT  
SECTION

DEVELOPMENT  
SECTION

MEMO FOR  
A CITED  
REFERENCE

APPL. NO.  
IF ANY,  
OR CITED  
REFERENCE NO.

- ① ENGLISH CHARACTER  
& NUMBER
- ② NUMBER
- ③ CHINESE CHARACTER

NEEDED TO MAKE FIG. 31

【図35】FIG. 35

No.	項目名	概要	長さ	属性	入力者	チェック	備考
17	File No.	本件のFile No.(国まで特定)		英数字	システム	-	先行技術と本件とを結びつける
18	引例番号	REFERENCE NO. PRESENSE		英数字	システム	-	公開番号があれば公開番号、なければ文献名を表示
19	IDS有無	IDS STATUS 有=1、 無=0 NONE	1	数字	事務部門	権利化部門	入力はUS、CNのみ
20	IDS種類	IDS TYPE 全文、対応件提出、要約から選択	5	漢字	事務部門	権利化部門	入力はUSのみ
21	入手日	yyyy.mm.dd	10	数字	事務部門	-	本件での当該先行技術資料の入手日
22	入手先		5	漢字	事務部門	-	SR、引例など

FILE NUMBER OF THIS CASE  
(WITH IDENTIFIED COUNTRY)

INPUT BY

CHECKED BY

REMARKS

CONNECTED PRIOR ARTS TO THIS CASE

LAI-OPEN NO. IF ANY, OR DOCUMENT NO.

INPUT ONLY US AND CN CASE

INPUT US CASE ONLY

SR, REFERENCES

OBTAINING DATE OF THE PRIOR ART OF THIS CASE

CLERICAL SECTION

RIGHT ACQUIREMENT SECTION

CHOSEN FROM ALL DOCUMENT, COUNTERPART FILE OR ABSTRACT

ENGLISH CHARACTER & NUMBER

CHINESE CHARACTER

NUMBER

OBTAINING DATE

OBTAINED FROM

【図36】FIG. 36

ITEM		SUMMARY	LENGTH	ATTRIBUTION	INPUT BY		CHECKED BY	REMARKS
No.	項目名	概要	長さ	属性	入力者	チェック	備考	
23	経歴番号	HISTORY NO.		英数	システム SYSTEM	-		経歴と先行技術とを結びつけるためのフラグ
24	引例番号	CITED REFERENCE NO.		英数字	事務部門	事務部門	入力必須	INPUT IS NEEDED
25	拒絶理由 対象箇所			漢字	開発部門	権利化部門		新規レコードが発生したOA 時に入力・随時チェック
26	差別化 ポイント			漢字	開発部門	権利化部門		新規レコードが発生したOA 時に入力・随時チェック

FLAG TO CONNECT A HISTORY TO A PRIOR ART  
 CLERICAL SECTION  
 POINT OF REJECTION REASON  
 ENGLISH CHARACTER & NUMBER  
 CHINESE CHARACTER  
 DEVELOPMENT SECTION  
 RIGHT ACQUIREMENT SECTION  
 INPUT AND CHECK IN EACH TIME WHEN OA WITH A NEW RECORD IS ISSUED



- 1 -

[Name of the Document] Specification

[Title of the Invention] INFORMATION PROCESSING APPARATUS  
AND METHOD, AND PROGRAM STORAGE MEDIUM

[What is claimed is]

5 [Claim 1]

An information processing apparatus for accumulating  
technical documents relating to a patent application,  
comprising:

accumulation means for accumulating a related technical  
10 document including a corresponding foreign application for  
the patent application; and

display control means for displaying a list of technical  
documents of a patent application accumulated in said  
accumulation means.

15 [Claim 2]

The information processing apparatus according to claim  
1, wherein

said display control means displays a flag indicating  
whether or not a prior art disclosure procedure has been  
20 performed on the technical document.

[Claim 3]

The information processing apparatus according to claim  
1, wherein

said display control means displays descriptions  
25 indicating correspondence between the technical document  
and a rejection reason.

[Claim 4]

The information processing apparatus according to claim  
1, wherein

said display control means displays a list of  
applications by which the technical document has been cited  
5 relating to the technical document.

[Claim5]

An information processing method for accumulating  
technical documents relating to a patent application,  
comprising the steps of:

10 accumulating a related technical document including  
a corresponding foreign application for the patent  
application; and

displaying and controlling a list of technical  
documents of a patent application accumulated in said  
15 accumulation step.

[Claim6]

A program storage medium storing a program for realizing  
an information processing method for accumulating technical  
documents relating to a patent application, comprising the  
20 steps of:

a code for realizing an accumulation step of  
accumulating a related technical document including a  
corresponding foreign application for the patent  
application; and

25 a code for realizing a displaying and controlling step  
of displaying a list of technical documents of a patent  
application accumulated in said accumulation step.

[Detailed Description of the Invention]

[0001]

[Technical Field of the Invention]

The present invention relates to an information  
5 processing apparatus, an information processing method,  
and a program storage medium, and more specifically to an  
information processing apparatus, an information processing  
method, and a program storage medium having the function  
of managing documents.

10 [0002]

[Prior Art]

Recently, an increasing number of patent-related  
documents have been stored and processed as electronic data.

The data are normally transmitted and received using IT  
15 technology in issuing the publication of Patent Office using  
CD-ROM as a medium, storing registration publications as  
electronic data, filing an application with Patent Office  
through a network, receiving documents from Patent Office,  
etc.

20 [0003]

Furthermore, a retrieval system, publication of various  
information, etc. have been widely performed through  
Internet.

[0004]

25 On the other hand, enterprises have designed their  
own computer systems for managing their intellectual  
property such as patents, utility models, etc. In most



of the systems, data of bibliographic information such as the filing date, the application number, etc. for a patent is managed mainly by databases, etc., retrieved, and displayed for necessary term management, etc.

5 [0005]

[Problems to be solved by the Invention]

However, the above mentioned documents stored as electronic data, and the progress in a network environment have required electronic management also on the document  
10 format, that is, the text of documents to be submitted to Patent Office. Therefore, not only the normal term management, but also the proposal from an inventor, the application and the relevant documents for communications with Patent Office through a network with an attorney have  
15 to be appropriately managed.

[0006]

In such a paperless environment, it is necessary to efficiently store reference documents in a notice of reasons for rejection in a database and retrieve them therefrom.

20 [0007]

The present invention has been developed to solve the above mentioned problems, and aims at providing an information processing apparatus, an information processing method, and a program storage medium capable of efficiently  
25 managing intellectual property.

[0008]

[Means for solving the Problems]

To solve the above mentioned problems, the information processing apparatus according to the present invention accumulates technical documents relating to a patent application, and includes: accumulation means for  
5 accumulating related technical documents including counterpart foreign applications; and display control means for listing the technical documents of patent applications accumulated in the accumulation means.

[0009]

10 Another aspect of the present invention will be clear by the descriptions of the detailed explanation and the claims described below.

[0010]

[Embodiments of the Invention]

15 (First Embodiment)

The first embodiment of the present invention is described below by referring to the attached drawings.

[0011]

(Entire Configuration of Intellectual Property  
20 Management System)

FIG. 1 shows the typical configuration of the intellectual property management system according to the present embodiment.

[0012]

25 In FIG. 1, reference numeral 107 denotes the head office of an enterprise performing economic activities such a research, development, production, sales, etc., and includes

a section for managing the intellectual property such as a patent, etc.

[0013]

Reference numeral 104 denotes an intellectual property  
5 information management section including a document server 116, a management server 117, servers 118, 119, 120, etc.

[0014]

The document server 116 includes a document DB  
(database) for management of a file wrapper, etc. containing  
10 the electronically generated documents, a series of documents generated in the communications between server 116 and Patent Office. The management server 117 contains bibliographic information such as an application number, a patent number, a filing date, a registration date, etc.,  
15 and a management DB for management of the term of the office action of Patent Office. The server 118 contains a general purpose DB storing a specific publication of Japanese Patent Office, US. Patent Office, etc. The server 119 configures an application system for management of a work flow described  
20 later, and other database (for example, a database of cited documents). The server 120 integrally manages the document servers 116 to 119, and controls the entire intellectual property information management system. In the embodiment described below, a 'system' refers to a system managed by  
25 the server 120.

[0015]

These components are all connected to a basic network

127. Each of the above mentioned components of the intellectual property information management section can be realized by the same computer machine.

[0016]

5           A document stored in the document server 116 can be normal text documents, drawings stored as normal image information, mixed mode documents containing both text and images, documents in the format unique to a word processor, etc. The electronic documents used in the communications  
10 with Patent Office and in-house documents are stored in a document database of the document server 116 by the application system of the server 119. Normally, the document database is assigned a number identifying the document, and linked to the management database of bibliographic items  
15 in the management server 117.

[0017]

Reference numeral 105 denotes an intellectual property right acquirement section for acquiring the right of the intellectual property by transmitting and receiving  
20 documents directly or indirectly to and from Patent Office.

[0018]

Reference numeral 106 denotes an intellectual property right acquirement support section for supporting the acquirement of the right of an electronic patent application  
25 original, term management, etc.

[0019]

Reference numeral 101 is a section for research and

development including a research and development section 102 and a development right acquirement support section 103 for supporting the acquirement of the right of developed intellectual property.

5 [0020]

The intellectual property right acquirement section 105 and the intellectual property right acquirement support section 106 have the routine of inputting information and generating documents about intellectual property, and can  
10 operate an exclusive application screen of a client PC in principle. On the other hand, the research and development section 102 normally performs research and development, and incidentally performs the operations related to the intellectual property. Therefore, it accesses the servers  
15 116 to 119 of the in-house intellectual property information management section 107 through an in-house network, displays a Web screen, and performs a necessary process. A corresponding staff can be notified of the timing of opening the Web screen through in-house electronic mail.

20 [0021]

Reference numeral 108 denotes a plurality of domestic affiliate companies located in Japan. Reference numeral 109 denotes a plurality of overseas affiliate companies located overseas. They can communicate with each other  
25 with the security guaranteed through a dedicated line (electronic mail, facsimile communications, etc. using a specified transmission path of data on transmission and

reception sides).

[0022]

Reference numeral 110 denotes an enterprise group comprising the head office 107, the domestic affiliate  
5 companies 108, and the overseas affiliate companies 109.

[0023]

Reference numeral 111 denotes a plurality of external companies for cooperatively perform research and development with the enterprise group 110. They manage the products  
10 of the cooperative research and development based on the mutual contract, and acquire a patent right. The system can transmit and receive data through Internet.

[0024]

Reference numeral 112 denotes a plurality of domestic  
15 firms related to intellectual property located in Japan.

Reference numeral 113 denotes a plurality of overseas firms related to intellectual property. They can communicate with each other through the above mentioned dedicated line or Internet.

20 [0025]

Reference numeral 114 denotes Japanese Patent Office (JPO) to which the above mentioned system is connected through an online terminal.

[0026]

25 Reference numeral 115 denotes a plurality of foreign patent offices with which the enterprise group 110 performs a procedure in writing through the overseas firm 113.

[0027]

Each of the above mentioned sections has a plurality of terminal PCs, etc. used for inputting/outputting information or the like. A staff of each section has his  
5 or her ID and password, and can access the above mentioned system.

[0028]

Each section has a plurality of client computers connected through a network, displays data of the servers  
10 116 to 120, and prints the data of the servers 116 to 120 in a specified format on a printer. The client computer has a scanner for inputting an image as necessary.

[0029]

The amount of outgoing information is managed in plural  
15 steps. For example, between 123 and 124, that is, in the intellectual property information management section, high-level information is concentrated, and is freely distributed. Therefore, it is necessary to place a strict restriction on the outgoing information therefrom both in  
20 quantity (quantity of information) and quality (type of information).

[0030]

Furthermore, between 122 and 125, that is, in the head office, the scope of the distribution of information is  
25 large. Therefore, the flow of information is limited by, for example, the prohibition of inspection outside each section so that a large amount of high-level information

cannot be distributed to a specific section. Between 121  
and 126, that is, in a group company, the scope of the  
distribution of information is enlarged. Therefore, the  
amount and quality of the outgoing information to each section  
5 is furthermore restricted.

[0031]

On the other hand, about the security check, the level  
of the security check depends on the amount and quality  
of the distributed information, and the level of the risk  
10 of the outgoing information.

[0032]

(Flow of Information in Intellectual Property  
Management System)

FIG. 2 shows the flow path of information in the above  
15 mentioned intellectual property management system.

[0033]

Documents and data are transmitted and received between  
the JPO 114 and the domestic firm 112 or the intellectual  
property right acquirement support section. The overseas  
20 firm 113 communicates documents and data with the foreign  
patent office 115. The overseas firm 113 communicates  
documents and data with the domestic firm 112 or the  
intellectual property right acquirement support section  
106. The electronic information transmitted into the  
25 country is registered in the system of the intellectual  
property information management section 104 as necessary.

The intellectual property information management section



104 provides information for the intellectual property right  
acquisition support section 106, the intellectual property  
right acquisition section 105, the development right  
acquisition support section 103, and the research and  
5 development section 102 as necessary, and registers the  
information. An external company 111, the domestic  
affiliate company 108, and the overseas affiliate company  
109 communicates documents and data with each section in  
the head office 107 as necessary.

10 [0034]

FIG. 3 shows an example of the organization of the  
intellectual property right acquisition section 105, the  
development right acquisition support section 103, and the  
research and development section 102.

15 [0035]

For example, the intellectual property right  
acquisition section 105 has an organization in which a chief  
A is the boss of staffs A and B, a chief B is the boss of  
a staff C, a chief C is the boss of staffs D and E, a manager  
20 A is the boss of the chiefs A and B, a manager B is the  
boss of the chief C, and a head A is the boss of the managers  
A and B.

[0036]

Similarly, the development right acquisition support  
25 section 103 has an organization in which a chief D is the  
boss of staffs F and G, a chief E is the boss of a staff  
H, a chief F is the boss of staffs I and J, a manager C

is the boss of the chiefs D and E, a manager D is the boss of the chief F, and a head B is the boss of the managers C and D.

[0037]

5           Furthermore, the research and development section 102 has an organization in which a chief G is the boss of inventors A and B, a chief H is the boss of an inventor C, a chief I is the boss of inventors D and E, a manager E is the boss of a chief G, a manager D is the boss of the chief H, and  
10   a head B is the boss of the managers C and D. In this system, the staffs of the organization are associated with the configuration of the organization, and are stored with personal name codes (ID numbers of the employees) in the table of the server 119.

15   [0038]

By storing the above mentioned organization information as a table in the system, for example, the destination of a document (mail) can be automatically set to acquire the approval of a boss, thereby checking whether there is an  
20   error in the transfer of the document addressed to a plurality of destinations in different orders. Thus, users can more easily use the functions of the system. On the other hand, the above mentioned organization information has to be periodically updated to maintain correct information, and  
25   the maintenance is a heavy load to a user. Whether or not such organization information is to be stored in the system can be determined, for example, by each section. It is

desired that the information is stored in the system for a section in which there is a small change in the information, and for a section in which the system is allowed to be more easily used by updating the information each time a change  
5 is made with the load of the maintenance taken into account.  
[0039]

FIG. 4 shows the method for managing a database according to the present embodiment.

[0040]

10 In FIG. 4, reference numeral 401 denotes a management DB contained in the management server 117. In this DB, various management items 402 are stored in case units. A management item can be set on a screen 403 of the client PC.

15 [0041]

Reference numerals 404 to 406 denote units of generating a document about each case. The unit is referred to as an 'action' in the present embodiment. In generating a document, a virtual file case in the system is defined as  
20 an 'action case'.

[0042]

For example, documents 407 such as a proposal document, an application request, a specification, drawings, an abstract, etc. are generated for an in-house suggestion  
25 on an invention for the action 404 which refers to the application of the management number 1234567. On a screen 408 of the client PC, the management data incident to the

application such as a filing date, an inventor, etc. are confirmed. The documents 407 are registered in an electronic file wrapper 412 described later formed in the document server 116, and the management data for the latter is  
5 registered in the management DB 401 as the management item 402. When a user requests to display the action case, the management DB 401 is accessed for a management item. The documents 407 can be displayed by a link to the electronic file wrapper 412.

10 [0043]

Since an application document has not been generated (prepared) for an action 405 of the application of the management number 12345678, only a proposal document 409 has been registered in the system.

15 [0044]

An amendment 411 has been registered for the action 406, that is, a notice of reasons for rejection, for the Japanese Patent Application No. 10-101.

[0045]

20 Other actions can be processes for legal procedures such as a decision of final rejection, a declinement of amendment, a lodgement of opposition, a request for examination, a response for invitation for correction, etc.

[0046]

25 Furthermore, the contents of the electronic file wrapper 412 formed in the document server 116 can be a document 413 for each case, an inspection document 414 such as the

patent information of third parties, a cited document 415 referenced in an examination by Patent Office for a case.

However, the cited document 415 can be stored in a DB on another server 119 as described above, and can be easily  
5 retrieved on the screen for access to the case through the link of the HTML.

[0047]

A link is established from the management DB 401 to the electronic file wrapper 412, and necessary data can  
10 be extracted from a document registered in the electronic file wrapper 412, and then be registered in the management DB 401. A link is established from the management DB 401 and the electronic file wrapper 412 to a patent search system  
416 formed by the server 118 such that a case 417 in the  
15 patent search system 416 can be easily accessed from a document in the electronic file wrapper 412.

[0048]

The information in the patent search system 416 can be DB-updated through a medium 418 such as CD-ROM, etc.

20 [0049]

(Action Case)

FIG. 5 shows the concept of the above mentioned action case.

[0050]

25 In FIG. 5, reference numeral 501 denotes an action case, and contents 502 and 503 of the action case respectively correspond to the application action 404 and the document

407. Reference numerals 504, 505, 506, and 507 respectively correspond to 405, 409, 406, and 411.

[0051]

As described above, the action case is a virtual file  
5 in the system indicated by 508, and contains a cover 512  
describing the type indicating the unit of an action such  
as an application, rejection reason, as a description item,  
an occurrence date and an end date of the action, the  
appellation of an action case (the representative  
10 appellation of the case when a series of actions have a  
plurality of documents), a legal expiration date, a requested  
return date of an action case (an expiration date of processing  
for the next person), the comment to the next person, a  
related case number, the history information about the  
15 circulation of an action case (through whom the case has  
reached the user), etc. Necessary document 509 for the  
process, and a DB item 510 to be input on the screen (displayed)  
are inserted. Furthermore, when an amendment has been  
generated, a process result 511 is inserted by a person  
20 who has performed the process. The process result 511 can  
be completely inserted by a person who has received an action  
case uploading the process contents to the system, and  
registering them in the electronic file wrapper 412.

[0052]

25 The concept of an action case can be varied. For example,  
it can be delimited in shorter units. For example, it can  
be delimited in generating a proposal document, checking

a draft, etc. Furthermore, a plurality of submission documents to Patent Office can be collectively moved and processed as one action case.

[0053]

5           FIGS. 6 through 8 show examples of action cases.

[0054]

FIG. 6 shows an application case of generating (preparing) an application document. FIG. 7 shows a rejection reason response case in response to a rejection  
10 reason. FIG. 8 shows a final rejection decision response case in response to a final rejection.

[0055]

In each action, the timing of the occurrence of an action case, the process performed at the occurrence, the  
15 timing of extinguishment, the process for the extinguishment, the documents related to the process, etc. are defined.

Other actions such as a request for examination, etc. can also be defined in the same manner.

[0056]

20           FIG. 9 shows an example of a study path of an action with the organization configuration as shown in FIG. 3.

For example, (1) refers to an example 1 of the study request form, and shows the path through which an action case is transferred from the staff A to the inventor A through the  
25 staff F, the manager E, and the chief G. For example, the study of a rejection reason corresponds to this pattern.

(2) refers to an example 2 of the study request form, and

shows the transfer of an action case from the staff A automatically and directly to the inventor A. For example, a check of an application original corresponds to this pattern.

(3) refers to an example 3 of a study request form, and  
5 shows the case in which the staff A sequentially specifies an arbitrary destination for transfer of an action case to a studying person.

[0057]

In (1) and (2) above, the system manages the work flow  
10 to automatically transferring the case. On the other hand, in (3) above, a person receiving the case transfers the case to a target person at his or her own discretion according to the in-house rule. From the viewpoint of the automation of a process, the former excels, but has the demerit that  
15 it cannot flexibly perform a process in an organization.

According to the present embodiment, the system supports both, thereby flexibly operating the system depending on the type and situation the type of action and section.

[0058]

20 Similarly, FIG. 10 shows an example of the path of the approval of an action study result. For example, (1) is the example 1 of an approval form, and shows the path of the transfer of an action case from the inventor A to the head A through the chief G, the manager E, the head C, the staff F, the chief D, the manager C, the head B,  
25 the staff A, the chief A, and the manager A. For example, approving and accepting an invention proposal document



correspond to this pattern. (2) is the example 2 of an approval form, and shows the path of the transfer of an action case from the inventor A automatically and directly to the staff A. For example, checking an application original, answering in an urgency, etc. correspond to this pattern.

(3) is the example 3 of a study request form, and shows the case in which an action case is transferred from the inventor A to the final person for approval with arbitrary destinations sequentially specified.

10 [0059]

In the case of approval, the form of (3) is provided for the same reason as in the above mentioned study request.

[0060]

FIG. 11 shows a table of a path pattern of the study and the approval of an action shown in FIGS. 9 and 10.

(1) shows a study path pattern, and describes the patterns from A001 to A005 as examples. For example, A001 is a pattern in which an action case is automatically generated by the system, and is transferred from a chief of the intellectual property right acquirement section to a staff, from a chief of the development right acquirement support section to a staff, and from a chief of the research and development section to a staff. (2) shows a study result approval path pattern, and describes the patterns from B001 to B005 as examples. For example, in B002, the system automatically registers a study result after an action case is transferred from a staff in the research and development section to

a staff in the intellectual property right acquirement section.

[0061]

These path patterns are registered in the server 119  
5 so that the work flow management can be smoothly controlled.

Furthermore, by setting the path pattern as a table, the work flow of an action case can be easily changed only by changing the table. In this table, patterns of destination-designated arbitrary path can be defined as  
10 A000 and B000.

[0062]

FIG. 12 is a pattern selection table for free assembly of a work flow by section (3), and by staff of the intellectual property right acquirement section (4) by using pattern  
15 tables shown in FIG. 11. For example, the development section A selects A001 as an action study path pattern, and selects B001 as a study approval path pattern. Otherwise, the staff A selects A001 as an action study path pattern, and B005 as a study approval path pattern. Using the pattern selection  
20 table, the customization by section or by staff can be realized.

In addition, a pattern table or a selection table can be used for each type of action case.

[0063]

FIG. 13 is a flowchart of setting a flow of an action  
25 case.

[0064]

First, it is determined in S1300 whether or not the

organization information shown in FIG. 3 has been registered in the system, and it is determined in S1301 whether or not it is set such that the table of path pattern by section shown in (3) of FIG. 12 can be applied. When it is applied, 5 the table of path pattern by section is searched in S1302, the system automatically sets a study request and approval path for the action case in S1303, and an action case process is performed in S1304. On the other hand, when the table of path pattern by section is not applied, it is determined 10 in S1305 whether or not it is set such that the table of path pattern by staff in the intellectual property right acquirement section can be set. If YES, the table of path pattern by staff is searched in S1306, the system automatically sets a study request and an approval path 15 for the action case in S1307, and an actual action case process is performed in S1304. On the other hand, when the table of path pattern by staff is not applied, the system automatically sets a predetermined default mode for the study request and approval path for the action case in S1308.

20 [0065]

When there is no organization information, a mode in which a destination is manually input is set in S1309.

[0066]

As described above, by combining the organization 25 information with a path table and a selection table, the transfer of an action case can be efficiently managed.

[0067]

(Document Follow-up Function of Action Case)

FIG. 14 shows the document follow-up function of a document to be stored in an action case.

[0068]

5           In this example, a study request for an action case automatically generated by a system 1401 is transferred in the order of the staff A (1403), the staff F (1404), the manager E (1405), the chief G (1406), and the inventor A (1407). In this case, if an action case is maintained  
10 in the system until the cited document is obtained when the system 1401 generates an action case, and when it takes some time for a user to obtain a part of the cited documents described in the notice of reasons for rejection, then time required for actual study is wasted, thereby causing low  
15 efficiency.

[0069]

On the other hand, until an action is transferred to a person who actually studies a response to a notice of reasons for rejection, it is often possible to appropriately  
20 determine without a part of cited documents the process of determining a person who studies a response, etc.

[0070]

Then, according to the present embodiment, in this case, when the circulation is started with a part of documents  
25 not yet obtained for an action case, and necessary documents are obtained later, the system 1401 additionally inserts the documents obtained from other sections 1402 such as

a material section, etc. to the case of the holder of the action case as follow-up, and the mail for informing that the documents have been inserted is transmitted to a person who has already passed the action case.

5 [0071]

The follow-up document can be transmitted from a section (person) which is not a section (person) generating the original study request as described above, or can be transmitted from the same section (person).

10 [0072]

FIG. 15 is a flowchart of the document follow-up process.

[0073]

First, when the system receives a document in S1501, it is determined in S1502 whether or not the document is provided with a flag of a related document (identification code for identifying a related document). If a related document flag is provided, the action case of the related documents is detected in S1503. Then, in S1504, it is determined whether or not the action case has been transmitted and is beyond the control of the system. If NOT, a newly received document is added to the action case of the related documents in S1505, and is transmitted, and control is passed to the process of the action case in S1507. On the other hand, if the action case has been transmitted, a new document is added to the action case of a person who currently holds the action case of the related documents, and notification mail about the added document is transmitted to a person

preceding the person holding the above mentioned action case, that is, a person already described in the circulation history. The person who has received the mail can inspect the newly added document. The document to be added can  
5 be attached to the mail, or the mail can be accessed by describing the address in the DB of the added document.

In this case, a person who has already passed an action case cannot register a document such as an amendment, etc. to the action case. However, if it is necessary to perform  
10 an important process on the action case, a necessary document can be registered when the action case is returned or a check result is returned.

[0074]

If there are no flags of related documents, a normal  
15 process such as generating a new action case, etc. is performed in S1509.

[0075]

By the above mentioned document follow-up function, the studying process can be efficiently performed by  
20 effectively using the limited studying time. The above mentioned document follow-up function is not limited to the above mentioned study of the action (a notice of reasons for rejection, etc.) of a patent, but can be applied to any study performed by a plurality of staffs who study anything  
25 through a network, thereby performing efficiently study through a network.

[0076]

(Function of Copying Action Case)

FIG. 16 shows the function of copying an action case.

[0077]

In a method of using the above mentioned action case,  
5 typically a person who has received the action case 1601  
assigns the number 1602 to the case and pass it to the next  
person as 1603 as it is as shown in (1) of FIG. 16. On  
the other hand, as shown in (2) of FIG. 16, a person who  
has received an action case 1604 processes it as 1605 by  
10 himself or herself, and may also request the next person  
to study it simultaneously. In this case, in the system  
according to the present embodiment, the person generates  
a copy case 1607 of the action case 1605, holds the action  
case 1605, and can have another person study the copy case  
15 1607. However, in principle in this case, the person who  
has generated the copy case can integrate a case 1608 which  
has been copied and returned. That is, dividing a requested  
action case and returning it to the originating person is  
prohibited in principle.

20 [0078]

A use form of a copy case is not limited to the above  
mentioned example. That is, the holder of 1610 copies a  
case 1609 received from a requester, divides it into 1611  
and 1612, and can pass them for parallel study. However,  
25 returned 1613 and 1614 are not returned as separate cases  
to the requester, but the person who made copies integrates  
and returns them to the requester.

[0079]

FIG. 17 practically shows the method of using a copy case.

[0080]

5       An action case 1701 from the intellectual property right acquirement support section is transmitted with a written study request generated in the intellectual property right acquirement section to the development right acquirement support section as 1702, and a copy case 1709  
10 is generated and transmitted to other sections. The development right acquirement support section transmits a written study request of a case 1703 as an attached document of electronic mail to the research and development section, on the other hand, generates a copy case, and transmits  
15 a written study request of a copy case 1705 as an attached document of electronic mail to another development section.

      The research and development section edits study results 1704 and 1706, and returns them as 1707 and 1708 respectively to the development right acquirement support section. Then,  
20 they are combined and integrated, and returned to the requester, that is, the intellectual property right acquirement section. In this example, a study result 1710 corresponding to the study request which has been passed to another section for study is combined and integrated  
25 with 1711, a necessary document is generated and added, and it is passed to the intellectual property right acquirement support section for a typing request process,



etc.

[0081]

By using the above mentioned function of copying an action case, a plurality of staffs can efficiently study  
5 a requested item within a short time.

[0082]

FIG. 18 shows an example (a case in which a studying person sequentially specifies the next studying person) of a method of transmitting information in the research  
10 and development section.

[0083]

When a written study request 1804 is transmitted by mail from the intellectual property right acquirement section, a representative inventor and a staff 1801 enter  
15 a necessary study item and the next studying person in the document 1804 on their PCs, and input a transmission instruction using a transmission button embedded as a macro on the document. Using the transmission button, the document 1804 is registered in the system, stored in the document  
20 server 116, and mail 1805 is transmitted to the next studying person (approver). Then, a next boss 1802 enters an item on a document 1806 similarly, registers it in the system, and transmits mail 1807 to a boss 1803.

[0084]

25 The above mentioned work flow is managed by the system, and the studying person input the next studying person (approver), pushes the transmission button, thereby

performing the system registration and mail transmission.  
[0085]

FIG. 19 is a flowchart of the invoice process from an intellectual property related firm in the present system.

5     When a document (for example, a notice of reasons for rejection, drafts of an argument and an amendment, an application original, a foreign application original, etc.) from an organization other than Patent Office (for example, an attorney's office, a translator's office, etc.) is  
10    received in S1901, it is confirmed in S1902 whether or not an ID number at the time of request for a service has been added. If an ID number has been assigned, control is passed to S1906. If it has not been assigned, then a document is input to the DB in S1903, an ID number is issued in S1904,  
15    and the ID number is transmitted to the intellectual property related office in S1905. In S1906, an invoice is received, and the ID number and the item (type, etc.) of the document are confirmed. For example, if the contents of the invoice refer to an item of a rejection reason notice response for  
20    the ID number about the item of a request for preparing an application original, it is an error. Furthermore, if an invoice of an amount equal to or larger than a predetermined value set in the system in advance for each service has been issued, then it is displayed on the in-house client  
25    PC, and an error notification can be manually issued unless non-error can be confirmed offline (for example, by phone, facsimile, etc.).

[0086]

If an item and an ID number match in S1907, then a payment is made in S1910. If they do not match, an error notification is displayed in S1908, and it is reported to  
5 an intellectual property related office. Then, it is confirmed in S1909 whether or not the invoice is to be re-transmitted.

[0087]

As described above, an ID is assigned to a requested  
10 service, and is compared with the contents when the service is provided, thereby easily linking the contents of the service to the invoice in the system.

[0088]

(Second Embodiment)

15 (Entire Configuration of Intellectual Property Management System)

FIG. 20 shows the typical configuration of the intellectual property management system according to the second embodiment of the present invention.

20 [0089]

The basic configuration and function are the same as in FIG. 1. The system shown in FIG. 1 is basically operated online through networks regardless of domestic or foreign use, but the present embodiment is different from the first  
25 embodiment in the following points.

[0090]

Reference numerals 128 and 129 denote public lines

through which facsimile data can be communicated. Each of the intellectual property right acquirement support section 106, the overseas firm 113, the domestic firm 112, etc. comprises at least one facsimile device. The ideal  
5 form of the entire system is the totally online system as in the first embodiment as described above. However, there can arise an environment in which electronic mail and Web temporarily cannot be used.

[0091]

10 Therefore, according to the present embodiment, a paper medium and facsimile communications are used as ancillary communications means.

[0092]

FIG. 21 shows an example of an OA appliance provided  
15 in the intellectual property right acquirement support section 106.

[0093]

Clients 2101, 2102, and 2104, a high-speed scanner printer 2103, and a high-speed scanner-printer-facsimile  
20 compound machine 2105 are connected to the basic network 127. In addition, the PC 2102 has the function of the server of the scanner printer 2103, and the PC 2104 has the function of the server of the compound machine 2105. Reference numeral 2109 denotes an analog or digital public line, and is used  
25 by the compound machine 2105 for transmission and reception of facsimile data. Reference numerals 2106, 2107, and 2108 denote stand-alone facsimile devices, and perform facsimile

communications through public lines 2110, 2111, and 2112 respectively.

[0094]

The intellectual property right acquirement support  
5 section 106 receives information by facsimile from external firms such as the overseas firm 113, the domestic firm 112, etc.

[0095]

At this time, in an urgency (when the term of an answer  
10 comes soon, etc.), a transmitter performs facsimile communications to the urgency exclusive line 2109 in a plurality of lines, and uses a sheet as shown in FIG. 22 as a cover page of the facsimile communications.

[0096]

15 In FIG. 22, a cover sheet 2201 describes a case number and its OCR bar code 2206, a legal due date and its OCR bar code 2207, an attached document name and its OCR bar code 2208, a cited reference number and its OCR bar code 2209, and other bibliographic information and its OCR bar  
20 code 2210, and is read on the transmission side. Reference numeral 2202 denotes, for example, an office action (notice of reasons for rejection), reference numeral 2203 denotes the first cited document, reference numeral 2204 denotes the second cited document, and reference numeral 2205 denotes  
25 the third cited document.

[0097]

Thus, by receiving the bibliographic information with

the OCR code on the front page, a server 2104 recognizes process information from the facsimile data fetched through a compound machine 2105, and electronic data about bibliographic information can be provided for the system.

5 In addition, the office action 2202, and the cited document 2203 to 2205 can also be fetched as facsimile data (electronic data) to the system. The bibliographic information extracted from the front page is registered in the management server 117, and the above mentioned action cases are  
10 automatically generated by the server 119 depending on the legal due date and the specified due date of an answer to an office. On the other hand, the facsimile data of 2202 to 2205 is registered in the document server 116. The facsimile data can be transmitted in the G3 system or the  
15 G4 system, and also can be compressed data such as JBIG, etc. Especially, in the system in which facsimile data can be directly fetched to a database as in the system according to the present embodiment, the data in the hierarchical coding system as the JBIG is effective when  
20 a user uses the data after inputting it in a database.  
[0098]

FIGS. 23 and 24 are flowcharts indicating the flow of the above mentioned process.

[0099]

25 Facsimile data is awaited in S2301. When it is received, the FAX server 2104 recognizes in S2302 the information in the above mentioned cover letter by the OCR function.

According to the present embodiment, the facsimile data contains item information (case number) for specification of a case managed by the management server 117, due date information for the current action, document name

5 information about an attached document, attached cited document information, etc. issued by Patent Office, etc.

Then, in S2302, the management information is registered in the management server 117, the document data 2202 to 2205 is registered in the document server 116, the server  
10 119 specifies a case number, and automatically generates an action case. A due date is set in S2305 (when a legal due date is applied, it is set, and when an office-specified due date is adopted, both dates are set). In S2306, the server 119 transmits an action case to a staff of the  
15 intellectual property right acquirement section, and transmits mail informing that an urgent action case has been received to the staff of the intellectual property right acquirement section and the boss of the staff of the intellectual property right acquirement section.

20 [0100]

Then, in S2307, the system checks whether or not the action case has been accessed by the staff of the intellectual property right acquirement section after a predetermined time (for example, three hours) has passed. If yes, the  
25 staff studies the action in S2310. On the other hand, if the action case has not been opened, in S2308, reminder mail is transmitted to the staff in the intellectual property

right acquirement support section and the boss of the staff  
of the intellectual property right acquirement section,  
and the staff in the intellectual property right acquirement  
support section or the boss of the staff of the intellectual  
5 property right acquirement section forcibly claims back  
the action case in S2309, and re-transmits the action case  
to another staff or process it by himself or herself.  
[0101]

After studying an action, in S2401, an answer to the  
10 action is transmitted through the system or by facsimile,  
and the bibliographic information in response to the action  
is registered in the DB of the management server 2402 in  
S2402. Then, the server 119 issues an ID number to the  
action response (response request) in S2403, and the ID  
15 number is transmitted to the destination of the action  
response in S2404. Then, in S2405, an invoice from the  
destination of the action response is received. If the  
item and others of the invoice match in S2406, then the  
invoice amount is paid in S2409. If they do not match,  
20 then an error notification is displayed and transmitted  
to a destination in S2407, and the above mentioned check  
is repeated if re-transmission is performed in S2408.  
[0102]

The above mentioned ID number can be issued when urgent  
25 facsimile information is received, or an action case is  
issued.  
[0103]



Furthermore, the payment of an invoiced amount can be made online or offline.

[0104]

FIGS. 25 shows the configuration of the display screen for a user confirming the process situation of an action case.

[0105]

FIG. 25 (1) shows an example of the display screen when the staff in the intellectual property right acquirement section confirms the process situation of an action case on the client PC.

[0106]

As display items, 'open' indicating whether or not a person receiving an action case has accessed the action case, 'return' indicating whether or not the return of the action case has been received, 'action case name' indicating the type of action case, 'transmission date' indicating the date of the transmission of the action case, 'destination' indicating the destination of the action case, 'specified due date' indicating the due date of the study of the action set by the transmitter of the action case, and 'legal due date' indicating the due date of the document to Patent Office, etc. are sequentially displayed.

[0107]

An example of a rule of the display method is described below.

1. The system detects that the action case has been

accessed at the destination, and the 'open' box is marked.

2. If the action case is not 'opened' N days (for example, 3 days) after the transmission date, a visual reminder is transmitted to the staff of the intellectual  
5 property right acquirement section on the necessary display (for example, using a yellow paint) for the action case.

3. When the specified due date has been passed, the action case is painted in color (for example, blue) different from the color of 2 above regardless of whether or not the  
10 action case has been 'opened' as a visual reminder to the staff of the intellectual property right acquirement section (however, an already returned action case is not painted).

4. M days (for example, seven days) prior to the legal due date, the action case is painted in color (for  
15 example, red) different from the colors of 2 and 3 above regardless of whether or not the action case has been 'opened', or whether or not the specified due date has been passed, thereby visually reminding the staff in the intellectual property right acquirement section of the action case.

20 5. About the action case occurring by urgent facsimile, the action case is painted in the same color as 4 above regardless of whether or not the action case has been 'opened' to visually remind the staff in the intellectual property right acquirement section of the  
25 action case.

6. The conditions of the above mentioned display parameter (reminding conditions such as values of N and

M, color of the paint, etc.) are set by the staff in the intellectual property right acquirement section on the client PC, and stored in the non-volatile memory of the client PC, thereby successfully customizing the process.

5 [0108]

FIGS. 26 shows the configuration of the display screen for a user confirming the process situation of an action case.

[0109]

10 FIG. 26 (2) shows an example of a display screen used when the boss in the intellectual property right acquirement section or the staff in the intellectual property right acquirement support section confirms the process situation of the action case of the staff of the intellectual property  
15 right acquirement section on the client PC.

[0110]

As display items, 'open' indicating whether or not the staff in the intellectual property right acquirement section in charge of the action case has accessed the action  
20 case, 'request' indicating whether or not the action case has been transmitted in a study request, 'action case name' indicating the type of action case, 'reception date' indicating the day on which the action case was received by the staff of the intellectual property right acquirement  
25 section, 'staff name' indicating the name of the staff in the intellectual property right acquirement section, 'specified due date' indicating the due date of the study

of the action set by the transmitter of the action case,  
and 'legal due date' indicating the due date of the document  
to Patent Office, etc. are sequentially displayed.

[0111]

5           An example of a rule of the display method is described  
below.

1.    The system detects that the action case has been  
accessed by the staff in the intellectual property right  
acquisition section through the network, and marks the 'open'  
10 box.

2.    The system detects that the staff in the  
intellectual property right acquisition section has  
transmitted the action case in a study request through the  
network, and marks the 'request' box.

15       3.   If the action case is not 'opened' P days (for  
example, 7 days) after the reception date on the staff side,  
a visual reminder is transmitted to the boss of the  
intellectual property right acquisition section on the  
necessary display (for example, using a red paint) for the  
20 action case.

4.    If the action case is not 'requested' Q days (for  
example, 10 days) after the reception date on the staff  
side, a visual reminder is transmitted to the boss of the  
intellectual property right acquisition section on the  
25 necessary display (for example, using a yellow paint) for  
the action case.

5.    About the action case occurring by urgent

facsimile, the action case is painted in the same color as 3 above regardless of whether or not the action case has been 'opened' to visually remind boss of the staff in the intellectual property right acquirement section of the  
5 action case.

6. The conditions of the above mentioned display parameter (reminding conditions such as values of P and Q, color of the paint, etc.) are set by the boss of the staff in the intellectual property right acquirement section  
10 on the client PC, and stored in the non-volatile memory of the client PC, thereby successfully customizing the process.

[0112]

Whether or not the action case has been accessed can  
15 be recognized by the server 119 periodically checking, for example, whether or not the written study request held as an attached document of the action case by the document server 116 has been accessed.

[0113]

20 By providing the above mentioned access check tool, the third party can issue a reminder or perform a process when a error arises in mail or the staff misses mail in a network environment, etc.

[0114]

25 (Forcibly Claiming Back Action Case)

As described above, a person having predetermined authorization (for example, a boss of a holder of an action

case, a person in charge of the management section, a person transmitting an action case to the holder) can forcibly claim back the action case.

[0115]

5        It can be realized by, for example, rewriting the information of the work flow management table of the server 119, and changing the holder of the action case. The function is specifically effective when the process of action cases tends to be delayed because the holder of the action case  
10       is absent on the business trip, etc.

[0116]

         At this time, mail containing a notification that the forcible claim has been issued, the information about the person who has issued the claim, the information about the  
15       claim-back date, etc. is transmitted to the current action case holder. Thus, the action case holder can recognize that the action case held by him or her has been claimed back.

[0117]

20       After the claim back, the action holder can perform the studying and approving processes by himself or herself, or can ask another person to study and approve the action case.

[0118]

25       The above mentioned tool can also be used in the system according to the first embodiment.

[0119]

FIG. 27 is a flowchart of the method of inputting to the system a document transmitted by the above mentioned facsimile through a paper medium.

[0120]

5       First, in S2701, the intellectual property right acquirement support section receives a paper document. The cover letter of this document can be the same as 2201 shown in FIG. 22.

[0121]

10       In S2702, the cover letter is made to be read by the staff of the intellectual property right acquirement support section using the image scanner of 2103. In S2703, the scanner server 2102 recognizes the information in the cover letter. In S2704, a case number is specified. In S2705,  
15       the management server 117 of the system is accessed, and the bibliographic information screen of the corresponding case is displayed on the display unit of 2102, which is a client PC.

[0122]

20       Next, the attached document (for example, a notice of reasons for rejection) 2202 is input in S2706. It is determined in S2707 whether or not a cited document has been attached. If yes, it is determined whether or not the cited document has been stored in the server 118 forming  
25       part of the DB of the cited document. If it has been already stored, the cited document is not input by the scanner.

On the other hand, if the cited document is not contained

in the cited document DB, then the staff in the intellectual property right acquirement support section is prompted on the screen of the PC to input a cited document having the corresponding number with a scanner in S2709. If there  
5 is another cited document, the processes in S2708 to S2709 are repeated. Afterwards, the processes in S2801 to S2806 are similar to the processes in S2305 to S2310 shown in FIG. 23. Therefore, a detailed explanation is omitted here.

In addition, a detailed explanation of the process in FIG.  
10 24 is also omitted here.

[0123]

(Document Database)

FIGS. 29 to 33 show the display screen of the client PC for explanation of the method of using the cited document  
15 database provided in the server 118.

[0124]

FIG. 29 shows a list of related documents for each case number (case). Reference numeral 2901 denotes a case number, that is, the reference number of the application  
20 of the company in each country. In this example, a five-digit number is followed by alphabetical character identifying a country. Reference numeral 2902 denotes a family number indicating an application group practically equivalent in contents of application. Reference numeral 2903 denotes  
25 a filed country. In this case, there are the applications of US (the United States), EP (Europe), AU (Australia), and JP (Japan). Reference numeral 2904 denotes a document



list relating to the US Patent application of the case number 12345US, and has been cited mainly in the examination by US Patent Office. In this example, three cases having the document numbers '12000 (in-house family number)', 'Japanese Patent Application Laid-Open No. 05-12345', and 'USP123456' are listed. In each document number, items such as a source, an obtaining date, a summary, a point of rejection, a differentiation point in the present application, etc. are displayed. Reference numeral 2905 displays all related documents of the family (in this case, US, EP, AU, and JP) of the case. In this example, four cases except the US case, that is, 'DE1200001' in the EP case, 'USP123456' in the AU case, 'USP123456' in the CA case, and 'Japanese Patent Application Laid-Open No. 06-12345' in the JP case, are cited. The source (for example, a search report (SR), the obtaining date, the summary, etc. of each case are displayed. Furthermore, the IDS information indicating whether or not the IDS (information disclosure procedure) has been completed in the U.S. (US) and China (CN) is displayed together. The IDS information can be displayed by one flag with US combined with CN as shown in FIG. 29. US and CN can have respective information, and can be displayed independently. [0125]

Thus, the related documents can be displayed for each family, and the status of the IDS of the related documents can be displayed, thereby preventing the omission of the IDS, easily considering documents cited in the examinations

in other countries for acquirement of a patent right, and efficiently acquiring effective right.

[0126]

FIG. 30 shows the display screen (type 1) for a reverse tracing by a document. Reference numeral 3001 denotes a document number. Reference numeral 3002 denotes the bibliographic information displaying, for example, the current status, the application number, the filing date, the priority-based application number and the priority day, the laid-open number and the laid-open date, the publication number and the publication date, the registration number and the registration date. Reference numerals 3003 and 3005 are guide displays. By pointing to a portion with the cursor, the function of the portion is displayed.

Reference numeral 3004 denotes the summary of the document.

Reference numeral 3006 denotes a listing of the in-house cases (case numbers) in which the document has been cited.

In this example, when a case number is clicked, the in-house application information registered in the document server 116 can be accessed. In addition, in this example, the item (an office action, etc. in history unit), the date, the portion of the rejection, the differentiation point from the present invention, etc. are displayed for each case number.

[0127]

Thus, by extracting and displaying an in-house case number by which the document can be cited using the document

as a key, the point used when differentiation can be made from the document in other cases can be immediately detected, thereby efficiently studying the office action.

[0128]

5           FIG. 31 shows a display screen for a reverse tracing by a document (type 2). Reference numeral 3101 denotes a document number. Reference numeral 3102 denotes a counterpart foreign application retrieval button. When it is pressed, a link to the patent retrieval DB of the  
10 server 118 is established, thereby inspecting a document of the family (for example, the US application, the Japanese application, etc.). Reference numeral 3103 denotes a button of request for an in-house procedure of automatically watching the condition (registration, deletion, or the like)  
15 of the document. Reference numeral 3104 denotes a list of the bibliographic information of the family of the document cited in any case. Reference numeral 3105 denotes a list of cases obtained by inversely tracing the case numbers by which documents are cited. For example, it is immediately  
20 indicated that 'USP12345' is a document cited by the case numbers '12345US', '12345AU', and '098765JP'.

[0129]

          FIG. 32 shows the result (one history unit of one case) of the study of an office action processed in an action  
25 case. Reference numeral 3201 denotes the case number of the present case. Reference numeral 3202 the names of the staffs in the development right acquirement support section

and the intellectual property right acquirement section  
and their access addresses. Reference numeral 3203 denotes  
the summary of the present case. Reference numeral 3204  
denotes an approval stamp. Reference numeral 3205 denotes  
5 the type of office action. Reference numeral 3206 denotes  
a product of the company, the execution plan of the company,  
and the products of other companies in which the invention  
of this case is implemented. Reference numeral 3207 denotes  
comments of the studying persons in the development section  
10 and the development right acquirement support section.  
Reference numeral 3208 denotes a list of the documents cited  
in the case. The list shows the items of a flag indicating  
whether or not the document has been disclosed by the prior  
art in US and CN, the summary of the cited document, the  
15 portion corresponding to the notice of reasons for rejection,  
and the differentiation point.

[0130]

FIG. 33 shows the display screen of the related documents  
in each case unit. Reference numeral 3301 denotes a case  
20 number. Reference numeral 3302 denotes the current  
situation of the case number, the bibliographic items such  
as the application, laid-open, registration number, etc.

Reference numeral 3303 denotes bibliographic items of the  
related documents. Reference numeral 3304 denotes the  
25 summary of the document. Reference numeral 3305 denotes  
a list of the obtaining date of the document, an acquirement  
method, a counterpart portion of a rejection, a

differentiation point, etc.

[0131]

As described above, by having information about related documents for each application case and history, a document  
5 can be retrieved and displayed in case and history units, and a case can be retrieved and displayed in document units.

As a result, the efficiency in performing a right acquiring process by studying a notice of reasons for rejection can be considerably improved. Especially when documents are  
10 managed in a paperless process in a network environment, a document database managed in case and history units is effective.

[0132]

FIGS. 34 to 36 are tables for explanation of the  
15 definition of a DB management item used to set the above mentioned database.

[0133]

FIG. 34 is a table showing the information managed for each document, and defines items of an issuance country,  
20 a reference number, a document number, a laid-open number, a laid-open date, a back date, an application number, a filing date, a publication number, a publication date, a register number, a register date, a keyword, a summary, a memo, and a family. For each item, a summary, a length,  
25 an attribute, a person who inputs the item, a checker, and a note are entered.

[0134]

For example, the item name 'issuance country' refers to a country which issues the document, the length is two alphanumeric characters, a person who inputs items and a checker belong to the clerical section (intellectual  
5 property right acquirement support section), and the note indicates that data input is required. According to the rule, a document database is generated.

[0135]

FIG. 35 is a table indicating the information for each  
10 case (corresponding to a case number), and defines items of File No., a reference number, an IDS status, an IDS type, an obtaining date, and a source. Each item is defined as in FIG. 35.

[0136]

15 For example, the item 'File No.' can specify an issuance country, the attribute is an alphanumeric character, a person who inputs data refers to system automatic input, no checker is set, and the memo indicates the item connecting the prior art to this case.

20 [0137]

FIG. 36 contains the information for each reference of each history (for example, corresponding to the above mentioned action case) of each case (corresponding to a case number), and defines items of a history number, a  
25 reference number, a point of rejection, and a differentiation point. Each item is defined as in FIG. 35.

[0138]

For example, for the item 'reference number', the attribute is an alphanumeric character, a person who input data and a checker belong to the clerical section, and the note indicates that data input is required.

5 [0139]

By inputting the above defined items, the above mentioned DB management, retrieval, and display can be realized.

[0140]

10 The present invention can also be attained by providing a storage medium storing a program code of software realizing the function of the above mentioned embodiments in a system or a device, and by the computer (or the CPU or the MPU) in the system or the device reading and executing the program  
15 code stored in the storage medium.

[0141]

In this case, the program code itself read from the storage medium realizes the function of the above mentioned embodiments, and the storage medium storing the program  
20 code configures the present invention. The storage medium for providing a program code can be, for example, a floppy disk, a hard disk, an optical disk, a magneto-optical disk, CD-ROM, a CD-R, a magnetic tape, a non-volatile memory card, ROM, etc.

25 [0142]

Furthermore, the functions according to the above mentioned embodiments can be realized not only by executing

the program code read by the computer, but also by the OS (operating system), etc. operating in the computer performing all or a part of the actual process at an instruction of the program.

5 [0143]

In addition, after a program code read from the storage medium has been written to the memory provided in an extension board and a function extension unit inserted to the computer, the CPU, etc. provided in the function extension board or  
10 the function extension unit performs all or a part of the actual process at an instruction of the program code, thereby realizing the functions of above mentioned embodiments.

[0144]

Furthermore, the concepts of the work flow, the  
15 generation of a database, the configuration of a system, etc. in the above-described embodiments can be arbitrarily transformed and combined appropriately.

[0145]

[Effect of the Invention]

20 As described above, according to the present invention, intellectual property can be efficiently managed by performing centralized management of technical documents relating to a patent application.

[Brief Description of the Drawings]

25 [FIG. 1]

FIG. 1 shows a typical configuration of the intellectual property management system according to an embodiment of



the present invention.

[FIG. 2]

FIG. 2 shows a flow path of information in the intellectual property management system.

5 [FIG. 3]

FIG. 3 shows an example of an organization in an intellectual property right acquirement section 105, a development right acquirement support section 103, and a research and development section 102.

10 [FIG. 4]

FIG. 4 shows a method for managing a database in an embodiment of the present invention.

[FIG. 5]

FIG. 5 shows a concept of an action case.

15 [FIG. 6]

FIG. 6 shows an application case for generating an application document.

[FIG. 7]

FIG. 7 shows a rejection reason response case in which  
20 a response to a rejection reason is issued.

[FIG. 8]

FIG. 8 shows a final rejection decision response case in which a response to a decision of a final rejection is issued.

25 [FIG. 9]

FIG. 9 shows an example of an action study path in an organization configuration as shown in FIG. 3.

[FIG. 10]

FIG. 10 shows an example of an approval path of an action study result.

[FIG. 11]

5        FIG. 11 shows a table of an action study approval path pattern shown in FIG. 9 and FIG. 10.

[FIG. 12]

FIG. 12 shows a pattern selection table for freely setting a workflow by section (3) and by staff of intellectual  
10    property right acquirement section (4) using a pattern table shown in FIG. 11.

[FIG. 13]

FIG. 13 is a flowchart showing the setting of a flow of an action case.

15    [FIG. 14]

FIG. 14 shows the document follow-up function for an action case.

[FIG. 15]

FIG. 15 is a flowchart of the document follow-up process;

20    [FIG. 16]

FIG. 16 shows the action case copy function.

[FIG. 17]

FIG. 17 practically shows the method of using a copy case.

25    [FIG. 18]

FIG. 18 shows an example of an information transmission method in a development section.

[FIG. 19]

FIG. 19 is a flowchart of the invoice process from the intellectual property related office according to the present system.

5 [FIG. 20]

FIG. 20 shows the typical configuration of the intellectual property management system according to the second embodiment of the present invention.

[FIG. 21]

10 FIG. 21 shows an example of an OA machines and devices provided for the intellectual property right acquirement support section 106.

[FIG. 22]

FIG. 22 shows a cover page of facsimile.

15 [FIG. 23]

FIG. 23 is a flowchart of the flow of the process of receiving facsimile.

[FIG. 24]

20 FIG. 24 is a flowchart of the flow of the process of receiving facsimile.

[FIG. 25]

FIG. 25 shows the configuration of the display screen for a user confirming the process status of an action case.

[FIG. 26]

25 FIG. 26 shows the configuration of the display screen for a user confirming the process status of an action case.

[FIG. 27]

FIG. 27 is a flowchart showing the method of inputting data into a system when documents are transmitted through a paper medium by facsimile.

[FIG. 28]

5        FIG. 28 is a flowchart showing the method of inputting data into a system when documents are transmitted through a paper medium by facsimile.

[FIG. 29]

FIG. 29 shows a display screen of a client PC for  
10    explanation of a method of using a cited document database designed in the server 118.

[FIG. 30]

FIG. 30 shows a display screen of a client PC for  
explanation of a method of using a cited document database  
15    designed in the server 118.

[FIG. 31]

FIG. 31 shows a display screen of a client PC for  
explanation of a method of using a cited document database  
designed in the server 118.

20    [FIG. 32]

FIG. 32 shows a display screen of a client PC for  
explanation of a method of using a cited document database  
designed in the server 118.

[FIG. 33]

25        FIG. 33 shows a display screen of a client PC for  
explanation of a method of using a cited document database  
designed in the server 118.

[FIG. 34]

FIG. 34 describes the definition of a DB management item in designing a database.

[FIG. 35]

5        FIG. 35 describes the definition of a DB management item in designing a database.

[FIG. 36]

FIG. 36 describes the definition of a DB management item in designing a database.

10    [Description of Reference Numerals or Symbols]

114   Japanese Patent Office

115   foreign patent office

104   intellectual property information management  
section

[Name of the Document] Abstract

[Abstract]

[Object]

The present invention aims at providing an information  
5 processing apparatus, an information processing method,  
and a program storage medium capable of efficiently managing  
intellectual property.

[Means for Achieving the Object]

An information processing apparatus for accumulating  
10 technical documents relating to a patent application  
comprises accumulation means for accumulating a related  
technical document including a corresponding foreign  
application for the patent application and display control  
means for displaying a list of technical documents of a  
15 patent application accumulated in said accumulation means.

[Selected Drawing]

FIG. 29